

# Medical Applications of Nanotechnologies: Challenges and Concerns for Technology Roadmaps, International Standards and Measurements

Herbert S. Bennett  
NIST Fellow and Executive Advisor  
Semiconductor Electronics Division  
National Institute of Standards and Technology  
Gaithersburg, MD 20899  
[herbert.bennett@nist.gov](mailto:herbert.bennett@nist.gov)

23 September 2010  
FDA Medical Devices and Nanotechnology Public Workshop  
Gaithersburg, MD

**Any opinions expressed in this presentation are my own and not necessarily those of NIST.**



# Outline

- **Why Standards Are Important**
- **Technology Roadmaps**
- **International Standards**  
**Priorities for Medical Applications of Nano-electrotechnologies**
- **A Nanostructure Example: Bone Tissue Engineering**
- **Invitation to Contribute**

# Significance of International Standards for Nanotechnologies

- **Global competition is intense.**
- **Standards are significant enablers for commercial success at all stages of innovation - from R&D to recycling/disposal.**
- **Successful innovation in nanotechnologies requires standards based on the best of each nation's science and engineering.** Standards not so based may constrain innovation and entrench inadequate technologies.
- **Documents for standards on consensus specifications advance the field.**
- **Standards influence R&D and business models.**

**“Standards enable innovative products and new markets.” –  
*Patrick Gallagher, NIST Director, November 2009***

# Technology Roadmaps for Nanotechnologies

- International Electronics Manufacturing Initiative (iNEMI). MEMS (Sensors/Actuators) Working Group – **medical products** enabled by nanotechnologies are within the scope of the 2010 roadmap. <http://www.inemi.org/>  
Michael Gaitan, NIST, leads the iNEMI MEMS Working Group.
- International Roadmap for Semiconductors (ITRS) now has more than Moore (MtM) applications of nanoelectronics such as RF and Analog/Mixed-Signal technologies, Energy (e.g., solar, photovoltaics, smart grid, and storage-batteries), Imaging (e.g., **quantitative medical imaging**), MEMS-Sensors/Actuators (e.g., **biosensors**), Bio-Chips (e.g., **bioelectronics**), and 3D Heterogeneous Integration.  
<http://itrs.public.net/>
- iNEMI and ITRS are discussing collaborations for MEMS (2010 iNEMI roadmap and 2011 ITRS roadmap).
- Semiconductor Research Corporation (SRC) **Bioelectronics** Roundtable Reports 2009 (BERT1) and 2010 (BERT2)

# IEC TC 113 Working Groups

- **JWG 1: Terminology and Nomenclature**

- **JWG 2: Measurement and Characterization**

**JWG 1/JWG 2 are Joint Working Groups with ISO TC 229 on nanotechnologies.**

- **WG 3: Performance Assessment**

**WG3 Scope: To develop standards for the assessment of performance, reliability, and durability related to the nanotechnology-enabled aspects of components and systems in support of continuous improvement at all stages of the value adding chain. WG 3 considers market demand and technology pull with an emphasis on fabrication, processing and process control, disposal, and recycling.**

# IEC TC 113 Working Groups (continued)

- **WG 3: Performance Assessment**

**Six stages of the linear economic model – technical research, technology development, initial deployment, commercialization (large-scale, high-volume manufacturing), end of first use, and end-of-life (disposing and recycling)**

# ISO TC 229 Working Groups

- **JWG 1: Terminology and Nomenclature**
- **JWG 2: Measurement and Characterization**

**JWG 1/JWG 2 are Joint Working Groups with IEC TC 113**

- **WG 3: Health, Safety and Environmental (HSE) Aspects of Nanotechnologies**

**WG3 Scope: The development of science-based standards in HSE aspects of nanotechnologies. A key part of the strategy for WG3 is to develop a framework and roadmap. There are high-priority needs for standard methods for toxicological screening, toxicity/hazard potential determinations, occupational exposure limits, etc. for nanoparticulates and other nanoscale materials; and protocols for inhalation testing, toxicology testing, safe handling, exposure determination and safe disposal of nanotubes.**

## ISO TC 229 Working Groups (continued)

- **WG 4: Material specifications**

**WG4 Scope: (work in progress) The development of material specifications for about 40 distinct materials and four classes of materials. These include metallic nanoparticles, such as gold, silver and platinum; metal oxides nanoparticles such as zinc and titanium oxides; compound particles such as polymers and alloys; and functionalized nanoparticles and quantum dots. Works in closed collaboration with JWG2 to ensure coordination of measurement, characterization and test methods.**

## **A GRAND CHALLENGE**

**Sustaining effective communication, cooperation, and collaboration among all the organizations working on international standards – essential for reducing the overload of overlaps or *who is doing what?* And optimizing limited resources**

**In nanotechnologies, TCs for ISO and IEC co-exist with:**

**OECD**

**JEDEC JC-14 Quality and Reliability – before 2001**

**ASTM International Committee E56 on Nanotechnology – 2005**

**IEEE Standard Test Methods for Measurement of Electrical Properties of Carbon Nanotubes - 2005**

**IEEE NTC Nanoelectronics Standards Roadmap – 2007**

**SEMI**

**ANF**

**CEN 352 on labeling**

**And this list goes on and on and on .....**

# Survey Results - Priorities

Survey on nano-electrotechnologies was online from May 10, 2008 to December 15, 2008. 459 respondents from 45 countries ranked in priority order the items listed below for the five taxonomy categories.

## Products

1. Energy
2. Medical Products
3. Computers
4. Telecommunication
5. Security/Emergency
6. Consumer Electronics
7. Household Applications
8. Transportation

## Cross-Cutting Technologies

1. Sensors (chemical, physical, mechanical, etc.)
2. Fabrication tools for integrated circuits
3. Nano-electromechanical Systems
4. Performance and reliability
5. Analytical equipment for properties
6. Environmental, Health, and Safety (bi-modal)
7. Instrumentation for Process Control
8. Optical Technologies

## Properties

1. Electronic and Electrical
2. Optical
3. Biological
4. Chemical
5. Radio Frequency
6. Magnetic

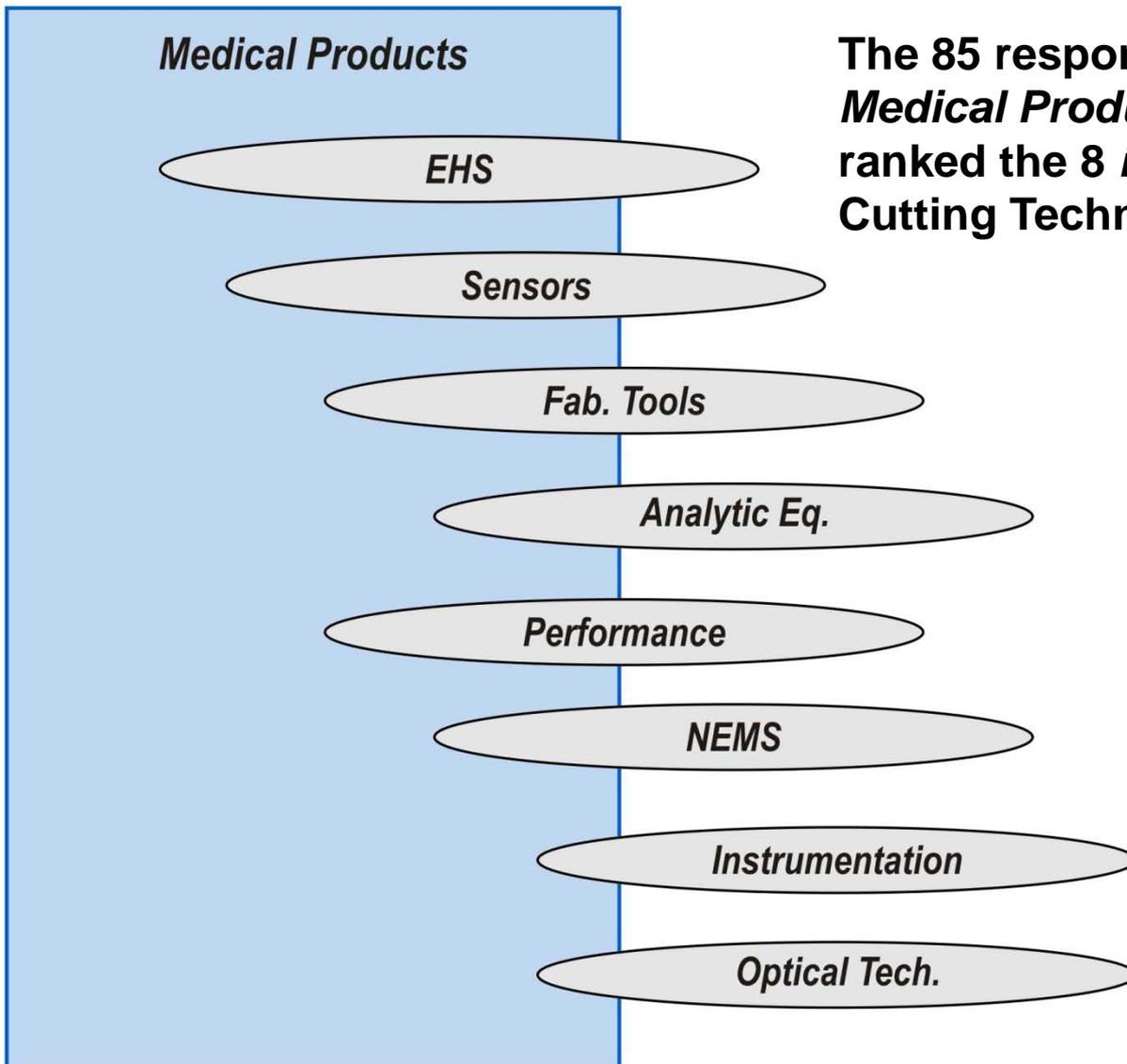
## IEC Discipline Areas

1. Measurement /Performance
2. Design/Development
3. HSE
4. Dependability/Reliability
5. Electromagnetic Compatibility
6. Terminology/Symbols

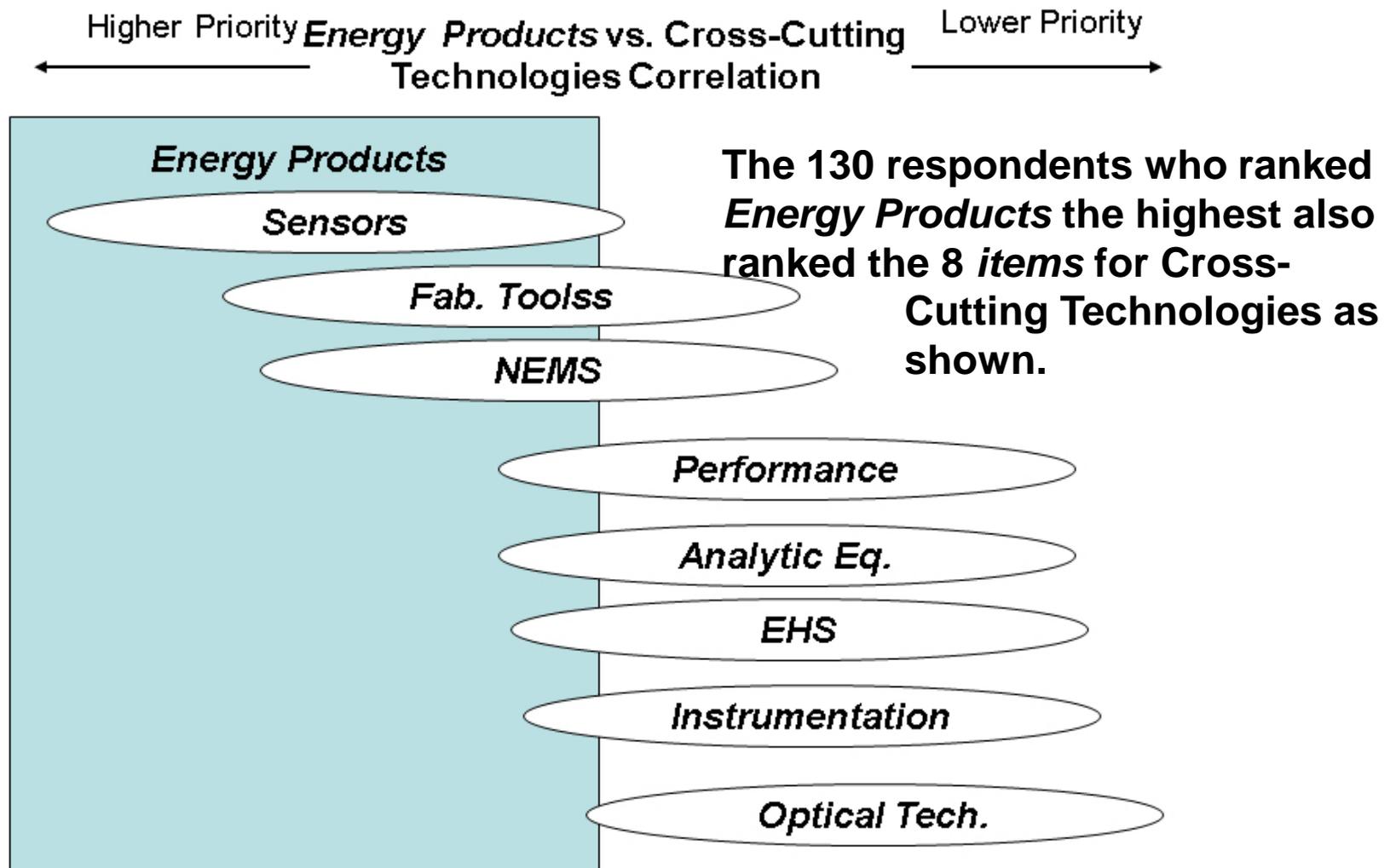
## Stages of the Economic Model

1. Basic Research
2. Technology Development
3. Initial Deployment
4. Commercialization
5. Initial End-of-Use
6. End-of-Life (Recycle/Disposal)

Higher Priority ← **Medical Products vs. Cross-Cutting Technologies Correlation** → Lower Priority



The 85 respondents who ranked *Medical Products* the highest also ranked the 8 *items* for Cross-Cutting Technologies as shown.



H. S. Bennett, et al., *Priorities for Standards and Measurements to Accelerate Innovations in Nano-electrotechnologies: Analysis of the NIST-Energetics-IEC TC 113 Survey*, NIST Journal of Research, Volume 114, Issue 2, March-April 2009, and on the Web at [http://www.nist.gov/eeel/semiconductor/upload/NIST\\_Energetics\\_Survey.pdf](http://www.nist.gov/eeel/semiconductor/upload/NIST_Energetics_Survey.pdf)



# Complete Analysis of the Survey

## Priorities for Standards and Measurements to Accelerate Innovations in Nano-electrotechnologies: Analysis of the NIST-Energetics-IEC TC 113 Survey

Herbert S. Bennett,<sup>1</sup> Howard Andres,<sup>2</sup> Joan Pellegrino,<sup>2</sup> Winnie Kwok,<sup>2</sup>  
Norbert Fabricius,<sup>3</sup> and J. Thomas Chapin<sup>4</sup>

<sup>1</sup>National Institute of Standards and Technology, Gaithersburg, MD, USA 20899

<sup>2</sup>Energetics Incorporated, Columbia, MD, USA 21046

<sup>3</sup>Forschungszentrum Karlsruhe GmbH, Eggenstein-Leopoldshafen, Germany D-76344

<sup>4</sup>Underwriters Laboratories Inc., Northbrook, IL, USA 60062

Published in the NIST Journal of Research, Volume 114, Issue 2,  
March-April 2009, and on the Web at

<http://nvl.nist.gov/pub/nistpubs/jres/114/2/V114.N02.A03.pdf>

**NIST**



# Bone Tissue Engineering <sup>1</sup>

**Single-walled carbon nanotube scaffolds promote differentiation of progenitor osteoblast cells into functional mature osteoblast cells – increase bone mineral density in patients with osteoporosis**

Carbon nanotubes promote bone cell proliferation, show excellent affinity for cell adhesions, carry neutral charge sustain the highest bone cell proliferation and production of hydroxyapatite crystals; and chemically functionalized SWCNTs with negatively charged surface control the orientation of the nuclei of hydroxyapatite and promote the crystal growth.

**Establishes direct relationships among SWCNTs, their electronic charge distributions, and bone health biomarkers.**

<sup>1</sup> Xiaomin Tu, et al., Department of Chemistry, University of Arkansas Little Rock, Paper presented at MRS Spring Meeting 2007, San Francisco

# Environmental, Health, and Safety (EHS) International Opportunities and Challenges

One randomly selected example from *NanoToday*, October 2007, Volume 2, Number 5, page 10:

## ***Carbon nanotubes show germ-fighting promise***

**Toxicology and Environment** - Researchers from Yale University have provided the first direct evidence that highly purified single-walled carbon nanotubes (SWNTs) exhibit strong antibacterial activity [Kang et al., *Langmuir* (2007) 23, 8670]. This has implications for both useful antimicrobial filtering processes, tissue engineering scaffolds based on nanostructures, and possible harmful environmental effects. ... contact with nanotubes results in the death of the bacteria. ... After exposure, loose DNA and RNA were found floating in the solution, ....., causing this genetic material to float out.

**What is the mechanism that leads to leakage of genetic material?** – local inflammation, puncturing, etc. ?

# **Environmental, Health, and Safety (EHS) International Opportunities and Challenges**

**In U.S. – no single agency has jurisdiction over  
nanomaterials and nanostructures  
OSHA - during manufacture  
FDA - drug and device  
EPA - end use**

**Balance between proceeding with some innovations and  
not proceeding other innovations – How will society  
decide?**

**In other nations**

**In other regions - EU, Asia, and the like**

# **Environmental, Health, and Safety (EHS) International Opportunities and Challenges**

**EHS at each stage of the Nanomaterials/Nanostructures Cycle**

**Raw and/or Recycled Material → Process → Subassembly  
→ System Integration → Product → End Use  
→ End-of-Life → (Disposing and Recycling)**

**Who is responsible for EHS at each stage?**

**Who determines measurements and standards for benefits and risk management at each stage?**

**Will they be traceable to national measurement institutes?**

## **Invitation to Contribute**

**The quality of the those portions of roadmaps and international standards and labeling that pertains to medical products enabled by nanotechnologies will depend in part on the contributions from the medical community (e.g., healthcare providers, researchers, manufacturers, regulators, and insurers) for all stages of the economic cycle - from R&D to recycling and/or disposal.**

**THANK YOU**