

Nanoscale Chemical Imaging of Individual, Chemotherapeutic Cytarabine-loaded Liposomal Nanocarriers

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ABSTRACT

Dosage of chemotherapeutic drugs is a tradeoff between efficacy and side-effects. Liposomes are nanocarriers that increase therapy efficacy and minimize side-effects by delivering otherwise difficult to administer therapeutics with improved efficiency and selectivity. Still, variabilities in liposome preparation require assessing drug encapsulation efficiency at the single liposome level, an information that, for non-fluorescent therapeutic cargos, is inaccessible due to the minute drug load per liposome. Photothermal induced resonance (PTIR) provides nanoscale compositional specificity, up to now, by leveraging an atomic force microscope (AFM) tip contacting the sample to transduce the sample's photothermal expansion. However, on soft samples (e.g. liposomes) PTIR effectiveness is reduced due to the likelihood of tip-induced sample damage and inefficient AFM transduction. Here, individual liposomes loaded with the chemotherapeutic drug cytarabine are deposited intact from suspension via nES-GEMMA (nano-electrospray gas-phase electrophoretic mobility molecular analysis) collection and characterized at the nanoscale with the chemically-sensitive PTIR method. A new tapping-mode PTIR imaging paradigm based on heterodyne detection is shown to be better adapted to measure soft samples, yielding cytarabine distribution in individual liposomes and enabling classification of empty and drug-loaded liposomes. The measurements highlight PTIR capability to detect $\approx 10^3$ cytarabine molecules (≈ 1.7 zmol) label-free and non-destructively.

KEYWORDS

Tapping PTIR, nanoscale chemical imaging, liposomes, cytarabine, drug delivery, nanocarriers.

1 Introduction

Efforts to develop novel nanoparticle-based therapeutic paradigms to provide selective drug delivery, disease diagnosis and monitoring of the therapeutic response [1–4] have yielded several clinically approved formulations, particularly for theranostic applications [5]. For example, liposomes [6,7] consist of spherical lipid bilayers that are effective in encapsulating and transporting hydrophilic cargos. The liposomes' lipid composition can be easily customized while their surface can be functionalized with a variety of ligands/adjuvants like antibodies, polyethylene glycol (PEG), carbohydrates, etc. that enhance bloodstream stability and/or add chemical functions tailored towards clinical targets [8,9]. Liposomes' chemical versatility, biocompatibility and biodegradability, make them ideal carriers for transporting and delivering otherwise difficult to administer therapeutics [10–16], such as short-lived compounds [15], toxic anticancer drugs [14,17,18], vaccines [19], genes [20,21] etc., as highlighted by the growing number of clinically approved formulations [22]. For example, liposomal cytarabine is clinically approved for treating of lymphomatous meningitis [23]. Cytarabine – or cytosine arabinoside (ara-C) - is a chemotherapeutic drug that stops cancer growth by interfering with DNA synthesis by virtue of its close structural/chemical similarity to the DNA nucleoside cytosine deoxyribose [24]. Because liposomes increase the delivery precision of toxic compounds to cancer sites with respect to disease-free tissues, one of their primary benefits is the reduction of side effects [25]. The synergistic interaction with other nanoparticles *in vivo* [3,26], can even further augment liposomal drug delivery precision. Ultimately, delivering anticancer drugs with greater specificity enables reduction of the therapeutic dose significantly, provided that the drug encapsulation efficacy in the carrier is known. Batch to batch reproducibility of nanoparticle properties and composition is critically important for their approval and efficacy in clinical applications, requiring both high throughput and single particle composition-sensitive characterization methods [27–29]. Bulk assays such as high pressure liquid chromatography [30], nuclear magnetic resonance [31] or capillary electrophoresis [32] are commonly employed to assess encapsulation efficiency. However, measurements on single vesicles typically require labelling with fluorescent dyes [28,33]. Consequently, there is an unfulfilled need for label-free methods capable of measuring the composition of small (typically < 100 nm) individual liposomes with high spatial resolution. Here, we leverage the photothermal induced resonance (PTIR) technique, a near-field infrared (IR) spectroscopic method, to obtain chemical images and spectra of individual cytarabine-loaded liposomes with nanoscale resolution. PTIR experiments in contact mode, the legacy implementation of this technique, are compared with PTIR experiments leveraging a novel heterodyne detection scheme and AFM tapping-mode operation. Both methods enable discrimination of cytarabine-loaded and empty liposomes as well as the visualization of the cytarabine nanoscale distribution in individual liposomes. However, because liposomes are very soft, they can be easily damaged in contact-mode and expert supervision is necessary to exclude imaging artefacts due to heterogeneities in the local PTIR transduction efficiency. In contrast, we find that the new tapping-mode PTIR imaging method is better adapted for characterizing mechanically compliant (soft) samples, extending the boundaries of this versatile characterization technique. PTIR's exceptional sensitivity is highlighted by the ability to detect ≈ 1.7 zmol of cytarabine ($\approx 10^3$ molecules) label-free and non-destructively.

2. Experimental

2.1. Liposome preparation

All the chemicals were used as received from commercial sources. Liposomes composed of hydrogenated L- α -phosphatidylcholine (HSPC), cholesterol (Chol) and 1,2-dioctadecanoyl- s_n -glycero-3-phosphoethanolamine

(PE (18:0 / 18:0), DSPE) with 5.7 : 3.8 : 0.5 (HSPC : Chol : DSPE) molar ratio were prepared according to the thin lipid film hydration technique [34–36]. Sodium phosphate ($\geq 99.5\%$; 15 mmol L^{-1} , pH 7.4) and NH_4OAc ($\geq 99.99\%$; 40 mmol L^{-1} , pH 8.4) filtered through a $0.2\ \mu\text{m}$ pore size syringe filter were used for vesicle preparation. Cytarabine (cytosine β -D-arabinofuranoside, $\geq 90\%$) from a 40 mmol L^{-1} stock in 40 mmol L^{-1} NH_4OAc (pH 8.4) or 50 mmol L^{-1} stock in 15 mmol L^{-1} sodium phosphate (pH 7.4) was used. Hydration of the lipid film was either performed with (i) 1 mL NH_4OAc , (ii) 1 mL NH_4OAc including cytarabine (40 mmol L^{-1}), (iii) 1 mL sodium phosphate or (iv) 1 mL sodium phosphate including cytarabine (50 mmol L^{-1}). The hydration procedure yielded dispersions of 10 mmol L^{-1} total lipid concentration, which were extruded 21 times through two pre-wetted polycarbonate membranes (100 nm nominal pore size) to obtain small unilamellar liposomes.

Prior to the nES-GEMMA separation and collection on the substrate, all non-encapsulated material was removed from the vesicles via spin filtration [37] employing a polyethersulfone membrane (10 kDa molecular weight cut-off spin filter). Based on the measured weights prior to and post spin filtration, a 1:10 [v:v] dilution of the initial stock was achieved (i.e. the samples had a final lipid concentration of 1 mmol L^{-1}).

2.2. nES GEMMA collection

The nES GEMMA (nano-electrospray gas-phase electrophoretic mobility molecular analysis) set-up [38] consists of a commercially available nES aerosol generator equipped with a ^{210}Po α -particle source, a nano differential mobility analyzer (nDMA) and a n-butanol-based ultrafine condensation particle counter (CPC). A $25\ \mu\text{m}$ inner diameter, fused silica capillary with a homemade tip [39] was used for generation of a stable Taylor cone. A fresh capillary was employed for each day of measurement to preclude cross-contamination of the liposome samples. 0.1 L min^{-1} CO_2 and 1 L min^{-1} compressed, particle-free air at a pressure difference of 28 kPa (4 PSId) were employed for transporting the analytes via the capillary through the neutralization chamber and to the nDMA unit. Particle-free air was additionally dried prior to application. Size-selected liposomes were collected on substrates after particle passage through the nDMA via an electrostatic nanometer aerosol sampler (ENAS) at -3 kV to -3.1 kV voltage on the inner collector rod and 1.5 L min^{-1} sheath air flow rate for 120 min (liposomes with acetate buffer) selecting an EM diameter of 85 nm . Collection of liposomes with phosphate buffer was done similarly but the air flow was held for 180 min (80 nm of EM diameter).

2.3. Liposome preparation

The setup used in this work consists of a commercial PTIR instrument coupled to a commercial external cavity quantum cascade laser array tunable from 1130 cm^{-1} to 1930 cm^{-1} . Contact-mode PTIR experiments were carried out using gold coated cantilevers with $13\text{ kHz} \pm 4\text{ kHz}$ nominal resonance frequency and with a nominal spring constant between 0.07 N m^{-1} and 0.4 N m^{-1} . Contact-mode spectra were obtained by matching the laser repetition rate to the cantilever second contact resonance frequency by sweeping the laser wavelength at 2 cm^{-1} intervals while maintaining the probe position fixed. Contact-mode maps were obtained by raster scanning the probe while illuminating the sample with a fixed wavelength and using a phase locked loop (PLL) to maintain the resonance excitation condition on the second or third cantilever mode.

For tapping-mode PTIR experiments, a commercial digital lock-in amplifier interfaced with the PTIR instrument was used to demodulate the amplitude at $|f_1 \pm f_{laser}|$ from the cantilever deflection signal. First, using a piezoelectric actuator the cantilever was shaken to identify the first (f_1) and second (f_2) cantilever modes (Fig. 3(a)). The laser frequency was first set tentatively as $f_{laser} = f_2 - f_1$. The laser repetition rate in the tapping-mode PTIR experiments was refined by determining the maximum of the lock-in amplifier demodulated

output (at f_2) when sweeping it across a frequency range centered around the f_{laser} first guess value. Tapping-mode PTIR experiments were obtained using gold coated cantilevers with $75 \text{ kHz} \pm 15 \text{ kHz}$ nominal resonance frequency and a nominal spring constant between 1 N m^{-1} and 7 N m^{-1} .

2.4. ATR FTIR reference measurements

A commercially available FTIR spectrometer equipped with a DLaTGS (deuterated L-alanine doped triglycerine sulfate) detector and a commercial diamond ATR element (single reflection) was employed for ATR FTIR reference measurements. Spectra were recorded as co-addition of 100 scans with a spectral resolution of 2 cm^{-1} .

2.5. FTIR Transmission measurements

A commercially available spectrometer equipped with a liquid nitrogen cooled MCT (mercury cadmium telluride) detector was employed for transmission measurements in a flow cell ($27 \mu\text{m}$ path length) connected to a commercial syringe pump with a $500 \mu\text{l}$ glass syringe. For all spectra, 100 scans were co-added with a spectral resolution of 2 cm^{-1} .

3. Results and Discussion

The liposomes investigated here are composed of three different lipids: hydrogenated L- α -phosphatidylcholine (HSPC), cholesterol (Chol) and 1,2-dioctadecanoyl-sn-glycero-3-hosphoethanolamine (DSPE) with $5.7 : 3.8 : 0.5$ HSPC : Chol : DSPE molar ratio. Liposomes loaded with cytarabine and buffer solution (either ammonium acetate or phosphate buffer), or liposome filled with buffer solution only (hereafter buffer-loaded liposomes) were prepared according to a thin film hydration method [34,40] followed by an extrusion step (polycarbonate filter, 100 nm nominal pore size) to generate small unilamellar liposomes. Ammonium acetate buffer was used for the first set of experiments (Fig. 1 and 2) as part of an established protocol [35,36], but was substituted later with phosphate buffer because of its transparency in the IR range (see Fig. S-1 in the ESM). Size-selected liposomes ($85 \text{ nm} \pm 3 \text{ nm}$ or $80 \text{ nm} \pm 2 \text{ nm}$ particle diameter loaded with ammonium acetate and phosphate buffer respectively) were collected on ZnSe and template stripped gold substrates via nES GEMMA (nano-electrospray gas-phase electrophoretic mobility molecular analysis) [38] for subsequent PTIR measurements. In the collection and size selection process, the particles are injected via electrospray ionization and separated according to their electrophoretic mobility (proportional to the particle size) in a tunable electric field [41]. Throughout the manuscript, the uncertainties in the liposomes' diameters represent a single standard deviation based on the nES GEMMA manufacturer specifications which are in good agreement with previous reports [41]. This liposome production method was chosen to ensure the deposition of intact liposomal nanocarriers, which have otherwise the tendency to burst when deposited on a substrate with other methods [35]. After deposition, the liposome shape typically changes from spherical to ellipsoidal (Fig. 1(c) and 2(a)) with $\approx 100 \text{ nm}$ to $\approx 200 \text{ nm}$ widths and $\approx 35 \text{ nm}$ to $\approx 50 \text{ nm}$ thicknesses, as measured by AFM.

First, FTIR and PTIR reference spectra of buffer-loaded liposomes and pure cytarabine in various forms (see Fig. S-2 in the ESM) were used to identify chemically representative marker bands for cytarabine and liposomes. The C=O stretch vibration (1734 cm^{-1}) [42] of the liposome constituent lipids was chosen as the liposome marker band because of its strong intensity and because it does not spectrally overlap with the IR bands of cytarabine and buffer. Similarly, the band at 1528 cm^{-1} (C=N and C=C vibration of pyrimidines [24,43]) was selected as the cytarabine marker band.

By combining IR spectroscopy composition sensitivity with atomic force microscopy (AFM) resolution, PTIR, also known as AFM-IR, provides direct and label-free access to molecule-specific information at the nanoscale [44][45]. In PTIR, a portion of the analyte, centered around the AFM tip, is illuminated by a pulsed wavelength-tunable laser (Fig. 1(a)). The absorption of a light pulse in the sample prompts its fast thermal expansion and induces cantilever oscillations with an amplitude (measured by the AFM deflection sensor) proportional to the absorbed energy [46,47]. In PTIR the AFM probe serves as a near-field mechanical detector, enabling nanoscale spectroscopy from the IR to the visible range [48]. Although, the PTIR spatial resolution is typically a weak function of the sample thermomechanical properties, a spatial resolution below 50 nm is routinely obtained [45,48,49]; with resolution down to ≈ 20 nm in contact-mode PTIR [48]. The tapping-mode PTIR experiments reported here indicate a spatial resolution of ≈ 10 nm (see below). In principle, the PTIR technique is of broad applicability because of the demonstrated proportionality between the PTIR signal and the absorption coefficient [46], as in FTIR. PTIR has successfully characterized a wide range of materials e.g. solar cells [50,51], photodetectors [52], pharmaceuticals [53], art conservation [54], polymers [55–57], plasmonic structures [58–60], metal-organic frameworks [61] and 2D materials [62,63]. In life sciences applications, PTIR has enabled the investigation of protein secondary structure [64,65], single cells [66], lipids [67,68] and recently, polymeric nanoparticles [69] and hybrid lipid-polymer films [70,71] for drug delivery. Furthermore, PTIR operation in water has been recently demonstrated [72,73], enabling conformational analysis of molecules at the nanoscale and in their native environment [72]. Recent reviews comparing PTIR with other near-field techniques, such as scattering scanning near field microscopy (s-SNOM) and tip-enhanced Raman spectroscopy (TERS) are available elsewhere [44,74]. Briefly, in contrast with TERS and s-SNOM, which are primarily surface sensitive techniques, PTIR probes samples throughout their thicknesses even in excess of $1 \mu\text{m}$ [47,75] and it necessitates the probe's plasmonic enhancement only to measure very thin (< 50 nm) samples. This PTIR characteristic, for example, has enabled characterization of live cells [75] and visualizing viral infection at various stages in single bacteria [76].

Dazzi et al. developed a theory for the PTIR signal (S_{PTIR}) generation, that factorizes the PTIR signal transduction into a series of multiplicative contributions [46]; rewritten here, for convenience, using the notation of Ramer et al. [77]:

$$S_{PTIR}(\lambda) \propto H_{AFM}H_mH_{th}H_{opt}(\lambda)I_{inc}(\lambda) \quad (1)$$

Where H_{AFM} is the cantilever contribution (a function of the cantilever modal stiffness, frequency, etc.), $H_m = k_{t-s} \cdot \alpha \cdot z$ is the mechanical contribution (a function of the tip-sample contact stiffness – k_{t-s} , of the sample thermal expansion coefficient – α and thickness – z), H_{th} is the thermal contribution, (a function of the sample thermal properties), H_{opt} is the optical contribution (due to the sample absorptance i.e. a function of the sample complex refractive index) and I_{inc} is the laser incident power, typically measured in a background spectrum. Although the shape of PTIR spectral profiles are determined by the sample optical properties (H_{opt}), the thermo-mechanical properties of sample and AFM probe ($H_{AFM}H_mH_{th}$) influence the overall PTIR signal intensity [77] making some samples (i.e. with small z or low α or low k_{t-s}) more challenging to measure [78,79]. For example, Barlow et al. observed that for stiffer bacteria on top of softer and more damping polymer layer the PTIR amplitude due to the polymer absorption was stronger when the tip was above the bacteria than when directly over the polymer layer. Since the $H_{AFM}H_mH_{th}$ term in Eq. 1 is wavelength independent (i.e. has the same value in a given location in subsequent PTIR images), ratios of PTIR images can obviate to this PTIR mechanical transduction artefact [79].

The liposomes' very low stiffness hampers the PTIR signal transduction and predisposes them to tip

damage in contact-mode. The low stiffness in combination with the liposome small thickness makes these samples challenging to measure with PTIR. Here, we leverage resonance-enhanced PTIR (RE-PTIR) [49] to increase the PTIR sensitivity. Although, RE-PTIR was originally developed for contact-mode AFM, a novel heterodyne detection scheme (explained below) allows resonance enhancement in tapping mode (tapping-mode PTIR).

In contact-mode PTIR experiments [49], the legacy implementation of the technique, the laser repetition rate was tuned to match the frequency of one of the cantilever oscillation modes (≈ 160 kHz, Fig. 1(b)). Because for a given cantilever spring constant (k_C) and free resonance frequency (f_0), the cantilever contact resonance frequencies (f_{res}) depend on the local tip-sample contact stiffness (k_{t-s}) according to the following (simplified) relationship [80]:

$$\frac{f_{res}}{f_0} = \sqrt{\frac{k_C + k_{t-s}}{k_C}} \quad (2)$$

methods, such as a phase locked loop (PLL), are necessary to maintain the resonance enhanced condition throughout the scans [81]. For PTIR tapping-mode experiments, which reduce the likelihood of tip-sample damage, resonant excitation was obtained using a heterodyne detection scheme (see below) which doesn't require resonance tracking because of the weak dependence of the tapping-mode resonance frequencies on the sample's mechanical properties. All the PTIR experiments were obtained by illuminating the sample from the air side ($\approx 20^\circ$ from the sample plane) using p-polarization and gold-coated Si probes.

To illustrate the challenges provided by the liposome samples to PTIR measurements when the tip is in contact, we first measured liposomes containing only the ammonium acetate buffer solution, Fig. 1. Counterintuitively, the PTIR image of the liposome marker band (1734 cm^{-1} , Fig. 1(d)) displays lower signal intensity in the liposome locations than on the substrate. This effect is attributed to the inefficiency of PTIR signal transduction due to the weaker sample-tip force transfer and higher damping on the liposomes (Fig. 1(b)), similarly to what previously observed by Barlow et al. [79]. Since the ZnSe substrate is transparent, to calculate image ratios (Fig. 1(f)) we reference our measurements to the spatially unspecific background absorption at 1260 cm^{-1} attributed to SiO_2 absorption [82] in the AFM cantilever (Fig. 1(e)). Although this operation is relatively straightforward in non-resonantly excited PTIR experiments [79], its implementation with RE-PTIR requires careful supervision, because of the abrupt f_{res} variations observed when the tip is in contact with the liposomes or substrate. While the PLL does not compensate for Q-factor variations, it is effective to maintain the cantilever resonant excitation by adjusting the laser repetition rate, provided that the PTIR signal is well above the noise level - a condition difficult to achieve on these liposome samples. If tracking is lost, the PTIR signal is not properly scaled making the PTIR map not suitable for the image ratio procedure (at least for the pixels where the PLL is ineffective).

Next, we measure liposomes loaded with cytarabine (Fig. 2). On this sample, the low PTIR signal intensity at 1528 cm^{-1} (cytarabine marker), makes resonance tracking particularly hard to maintain throughout an image. However, this problem can be obviated in part by restricting the PLL tracking range to include the liposome contact resonance frequency but exclude the substrate contact resonance frequency. Because the k_{t-s} dependence of the contact resonance is stronger for higher order modes [80], the third cantilever mode was used for these measurements to ensure that the contact resonance frequency of substrate and liposomes were sufficiently separated. This scheme enables reliable frequency tracking on the liposome (the sample of interest) and reaches the upper limit of the PLL range on the ZnSe substrate (see Fig. 2(d), (e)). Subsequently, the ZnSe areas, identified by the frequency map, are carefully excluded from data processing and interpretation.

Using the range-restricted contact resonance tracking the PTIR images at 1528 cm^{-1} (cytarabine marker band; see Fig. 2(b)) and at 1734 cm^{-1} (liposome band; see Fig. 2(c)) highlight cytarabine distribution in the liposome center. This interpretation is confirmed by the PTIR spectra (Fig. 2(g)). Consistently, the spectrum in the liposome periphery displays only the spectral features of the lipids, while the spectrum in the center of the liposome shows additional cytarabine bands. Such heterogeneity is further evidenced by the contact resonance image (see Fig. 2(d)) which shows higher frequencies on the substrate, lower frequencies on the soft liposome periphery and intermediate frequencies in the middle of the liposome (see Fig. 2(e)); indicating that the central region is harder than the liposome shell. Because the soft liposome is deformed by consecutive contact-mode images (see changes of liposome contour in Fig. 2(b), (c) and Fig. S-3) the edges of the liposomes have been excluded from the estimation of the PTIR image ratio (Fig. 2(f)) which, once again, reveals the cytarabine distribution inside a single liposome.

AFM measurements of soft samples are often carried out in tapping mode to avoid sample deformation and/or irreversible sample damage [83]. Therefore, next we leverage the new tapping-mode PTIR method to characterize optimized liposomal nanocarriers (phosphate buffer instead of ammonium acetate buffer, see supporting information) deposited on a gold substrate to augment the PTIR signal intensity [49]. Tapping mode PTIR images were obtained with heterodyne detection (a measurement scheme that enables resonant excitation by non-linear mixing of the cantilever oscillation modes) [84] by setting the laser repetition rate ($f_{laser} \approx 290\text{ kHz}$) to match the difference between the second ($f_2 \approx 344\text{ kHz}$) and first ($f_1 \approx 54\text{ kHz}$) bending modes of the AFM cantilever (Fig. 3(a)). In practice, the cantilever tapping frequency was f_1 and the heterodyne detection was measured at f_2 . The tapping-mode PTIR image ratios (Fig. 3(b)) of the chemically specific marker bands (cytarabine: 1528 cm^{-1} ; liposome: 1734 cm^{-1}) clearly highlight cytarabine localization at the center of the nanocarrier but not in the controls containing only the buffer (Fig. 3(c)), indicating that tapping-mode PTIR can successfully classify drug-free and drug-loaded liposomes. An additional representative PTIR image of a cytarabine loaded liposome is reported in the supporting information (Fig. S-4). Figure S-5 of the supporting information highlights the good reproducibility of the PTIR images obtained in tapping-mode, even for samples that are easily damaged in contact mode (see Fig. S-3). Furthermore, the tapping-mode PTIR images reveal a spatial resolution of $\approx 10\text{ nm}$, defined as the distance (Δx) over which the PTIR signal changes from 80% to 20% of the maximum value (Fig 3 f), which is better than the highest spatial resolution reported for contact-mode PTIR ($\approx 20\text{ nm}$) [48]. Based on capillary electrophoresis bulk measurements of the cytarabine concentration inside the liposomes (see supporting information) we estimate an average cytarabine concentration of $\approx 1.7 \times 10^{-21}\text{ mol}$ or $\approx 10^3$ molecules inside a single nanocarrier. The PTIR measurements presented here are close to the limit of what currently possible; however, the ultimate PTIR limit of detection for cytarabine molecules cannot be obtained with precision because of the uncertainty on the number of cytarabine molecules encapsulated in any given nanocarrier (see table S-1). Nevertheless, these measurements demonstrate an impressive sensitivity, comparable to the lowest PTIR detection limit (≈ 300 molecules), reported for self-assembled monolayers [49], which however, are characterized by a much higher tip-sample contact stiffness (i.e. more amenable to PTIR characterization).

4. Conclusion

In summary, resonance enhanced contact-mode PTIR imaging of soft samples is challenging because of the inefficient PTIR signal transduction, difficulty to maintain resonance excitation and risk for sample damage or deformation. However, careful adaptation of parameter settings and data processing based on detailed

understanding of the tip-sample interaction can yield suitable PTIR images that enable the visualization of the cytarabine distribution inside individual liposomes. In contrast, by avoiding sample damage and mechanical artefacts the novel ability to measure PTIR images in tapping-mode can more easily and clearly classify empty and drug loaded liposomes, with the added benefit of increased (≈ 2 - to ≈ 5 -fold) measurement throughput, based on the scan rate practically achievable. The detection of an estimated ≈ 1.7 zmol of cytarabine inside individual liposomes highlights the impressive PTIR sensitivity and enables, for the first time, measuring the drug distribution inside a single nanocarrier directly (i.e. label free) with a chemically sensitive spectroscopic method, non-destructively and at room temperature. Beyond the proof of concept presented here, extensive studies to determine the distribution and quantification of chemotherapeutic drug-loading in liposomal nanocarriers will benefit from improvements in the PTIR signal-to-noise ratio and throughput. Incremental advances could be obtained by leveraging different combinations of cantilever modes for PTIR heterodyne detection. Alternatively, a more disruptive approach involves the use of novel nanoscale optomechanical AFM transducers [85] that have been shown to increase the PTIR sensitivity (50-fold) and throughput (> 2500 -fold) compared to conventional AFM cantilevers without the need for resonant excitation [85]. We believe that such transducers hold great promise to further aid the development of liposome formulations towards clinical applications. This study lays the foundation for the quantification of drug-loading in single liposomes; a longstanding goal that could potentially improve the quality control for drug delivery systems and ultimately contribute to minimize side effects of highly toxic drugs.

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FIGURES.

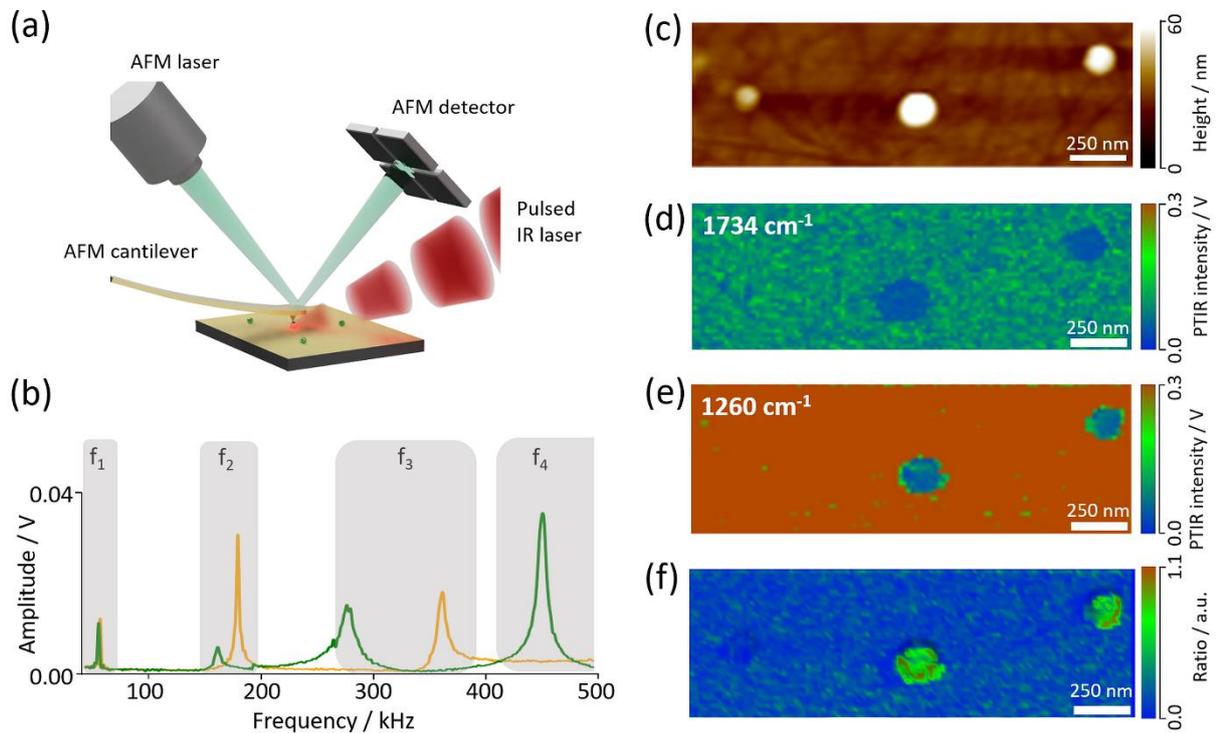


Figure 1 Contact-mode RE-PTIR measurement schematic and characterization of buffer-loaded liposomes. (a) PTIR measurement schematic: the sample is illuminated from the air side by a pulsed, wavelength-tunable mid-IR laser with tunable repetition rate (red). The IR absorption is detected locally via the cantilever deflection signal. (b) Contact-mode PTIR signal (frequency domain) displaying the intensity of the cantilever resonances excited by the absorption of light pulses when the cantilever is in contact with the sample (green) or the substrate (yellow). In contact-mode RE-PTIR experiments, the laser frequency was set to match either the second ($\approx 160 \text{ kHz}$) or third ($\approx 270 \text{ kHz}$) cantilever resonance. (c) AFM topography map, (d) PTIR map at 1734 cm^{-1} (liposome marker band), (e) PTIR map at 1260 cm^{-1} (non-specific background) of liposomes loaded with ammonium acetate buffer solution. (f) Because the soft liposomes hamper PTIR signal transduction, the correct distribution of analytes (lipids) is obtained by calculating PTIR ratio maps (1734 cm^{-1} vs 1260 cm^{-1}). The images (0.2 Hz scan rate) were acquired with a PLL bandwidth of $\pm 8 \text{ kHz}$ centered around the cantilever second resonance mode. The pixel size was 17.5 nm in x- and y- directions.

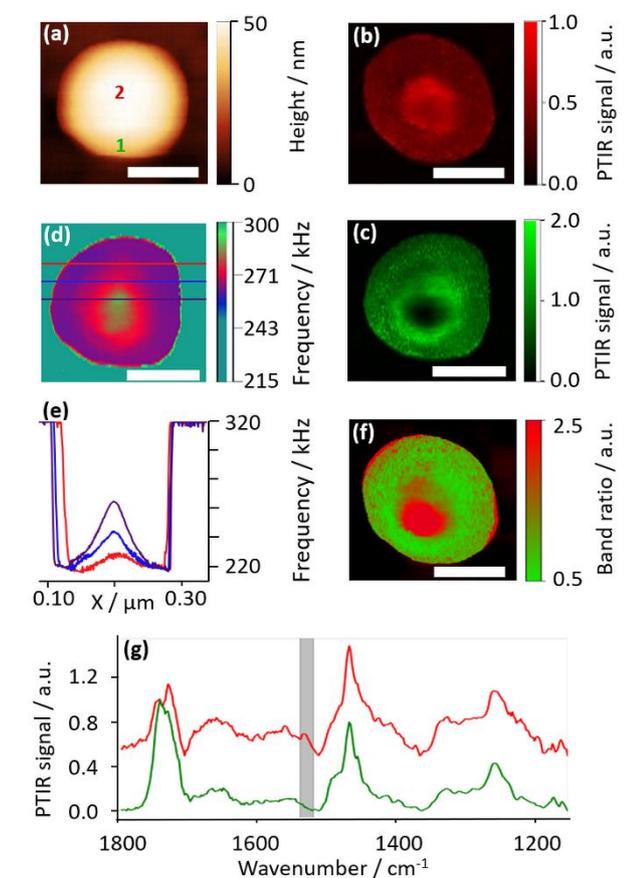


Figure 2 Contact-mode RE-PTIR characterization of cytarabine-loaded liposomes. (a) AFM topography map, (b) PTIR map at 1528 cm^{-1} (cytarabine), (c) PTIR map at 1734 cm^{-1} (liposome) and (d) contact frequency map (5 parallel lines average) of cytarabine-loaded liposomes. (e) Color-coded line profiles extracted in the marked locations in panel (d) showing higher contact resonance frequencies in the center of the liposome. (f) 1528 cm^{-1} vs. 1734 cm^{-1} PTIR image ratio (i.e. cytarabine vs lipid). Red and green highlight cytarabine rich and lipid rich regions respectively. The images (0.1 Hz scan rate) were acquired using a PLL to track the position of the cantilever third resonance mode ($\approx 270\text{ kHz}$) in the range between 210 kHz and 320 kHz. The pixel size was 0.75 nm and 3 nm in x- and y- directions respectively. (g) PTIR spectra obtained from the color-coded locations in panel (a). The spectrum closer to the liposome center (red) shows the cytarabine characteristic peak (1528 cm^{-1} , highlighted in gray) which is absent in the spectrum closer to the liposome edge (green). The spectra are displayed with an offset for clarity. The scale bars are 100 nm.

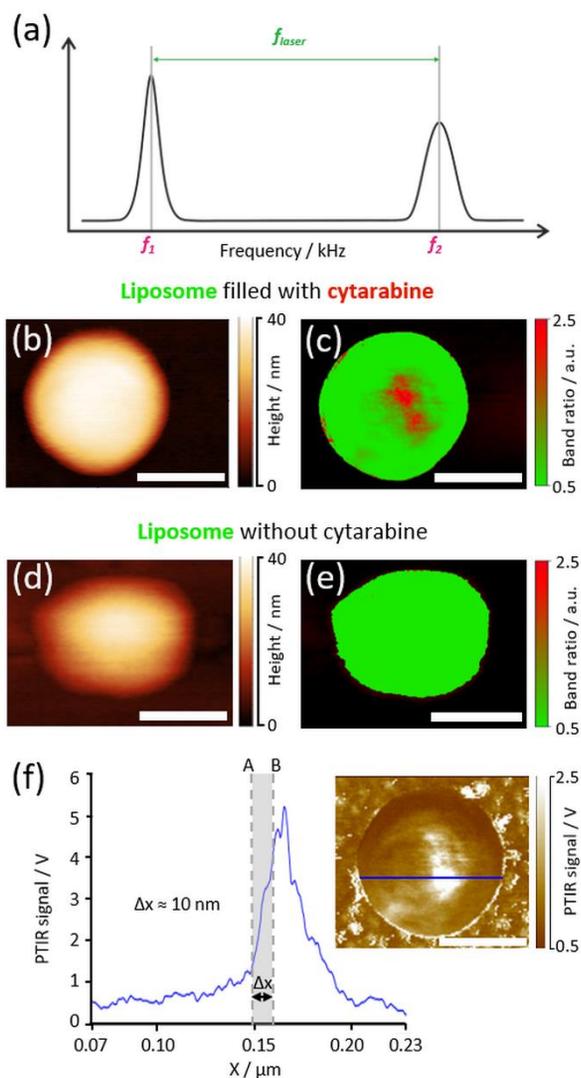


Figure 3 Tapping-mode PTIR measurements of cytarabine-loaded liposomes and buffer-loaded liposomes. (a) In tapping-mode PTIR experiments, the laser frequency ($f_{laser} \approx 290$ kHz) was set to match the difference between the second ($f_2 \approx 344$ kHz) and first ($f_1 \approx 54$ kHz) bending modes of the AFM cantilever. (b) AFM topography map and (c) 1528 cm^{-1} vs. 1734 cm^{-1} PTIR image ratio (i.e. cytarabine vs lipid) of a cytarabine-loaded liposome. (d) AFM topography map and (e) 1528 cm^{-1} vs. 1734 cm^{-1} PTIR image ratio of a liposome loaded with phosphate buffer only. Red and green colors in the PTIR ratio maps highlight cytarabine rich and lipid rich regions, respectively. (f) Line profile from the 1528 cm^{-1} tapping-mode PTIR map (blue line in the inset) highlighting the high spatial resolution (≈ 10 nm) of this method. The images (0.5 Hz scan rate) have a pixel size of 0.6 nm in the x direction and 2 nm (c) or 3 nm (e) in the y-direction respectively. The scale bars are 100 nm.

Electronic Supplementary Material

Nanoscale Chemical Imaging of Individual, Chemotherapeutic Cytarabine-loaded Liposomal Nanocarriers

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Reference spectra

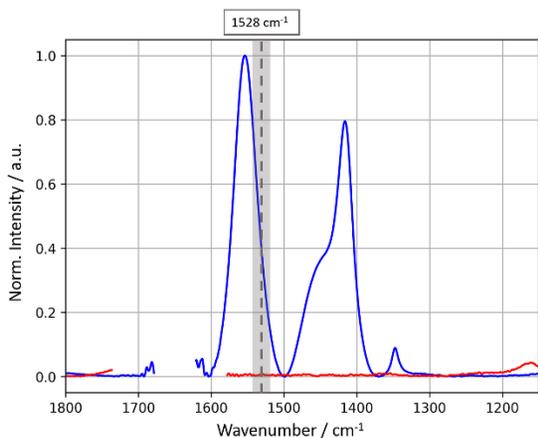


Figure S-1 Ammonium acetate buffer was used for the first set of experiments (Fig. 1 and 2 of the main text) as part of an established protocol [1][2]. However, based on the FTIR transmission spectra (27 μm path length flow cell) of the ammonium acetate buffer (blue, 40 mmol L⁻¹, pH 8.4) and phosphate buffer (red, 15 mmol L⁻¹, pH 7.4), the latter was chosen for the rest of the experiments to better avoid spectral overlap with the cytarabine

marker band (1528 cm^{-1} , highlighted in grey). The range of the strongly absorbing H-O-H deformation band of water (around 1645 cm^{-1}) was removed from the plot.

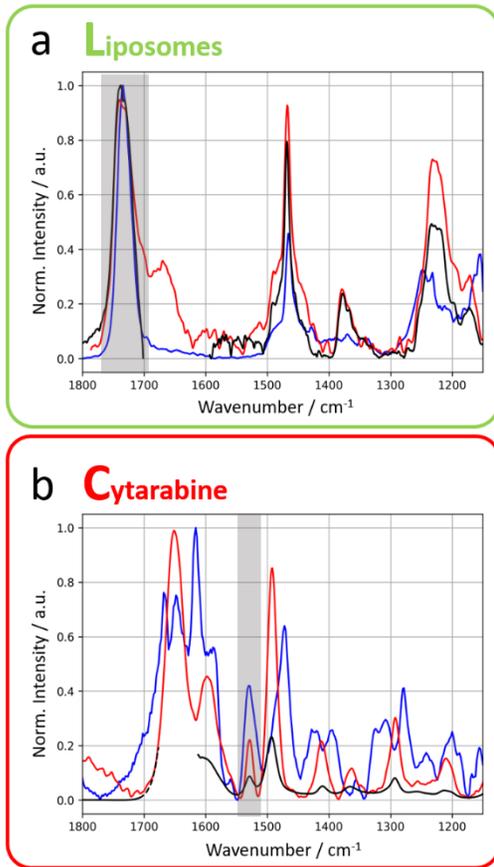


Figure S-2 (a) Liposomes reference spectra: FTIR transmission in ammonium acetate buffer (black), ATR FTIR (red) and PTIR (blue) of the liposome solution dried on a CaF_2 substrate. (b) Cytarabine reference spectra: FTIR transmission in ammonium acetate buffer (black), ATR FTIR (unpolarized light, red) and PTIR (p-polarized light blue) of the cytarabine solution dried on a CaF_2 substrate. The differences in the FTIR and PTIR spectra of the dried (crystalline) cytarabine are attributed to differences in sampling and light polarization which are parameters well known to influence the relative intensity of IR absorption bands in crystalline samples [3]. FTIR spectra were obtained on a bulk sample consisting of a large number of randomly oriented crystallites while PTIR spectra were obtained on a single crystallite of unknown orientation. The lipid and cytarabine marker bands are highlighted in grey in the respective panels (a) and (b).

CONSECUTIVE CONTACT- AND TAPPING-MODE AFM MAPS OF CYTARABINE LOADED LIPOSOMES

Characterization of a single liposome typically requires multiple (> 4) AFM scans to first locate the liposome and then characterize its IR absorption at several (compound-specific) wavelengths. The interaction between the AFM tip and the sample can damage the soft liposome samples, particularly in contact-mode. Figure S-3 compares 4 consecutive AFM scans on a given liposome obtained in tapping-mode (left column, no visible damage) and in contact-mode (right column, clear damage) highlighting the better suitability of the tapping mode operation to characterize those samples. In rare occasions the liposomes are stable enough to allow characterization in contact mode (see figure 1 and 2 of the main text).

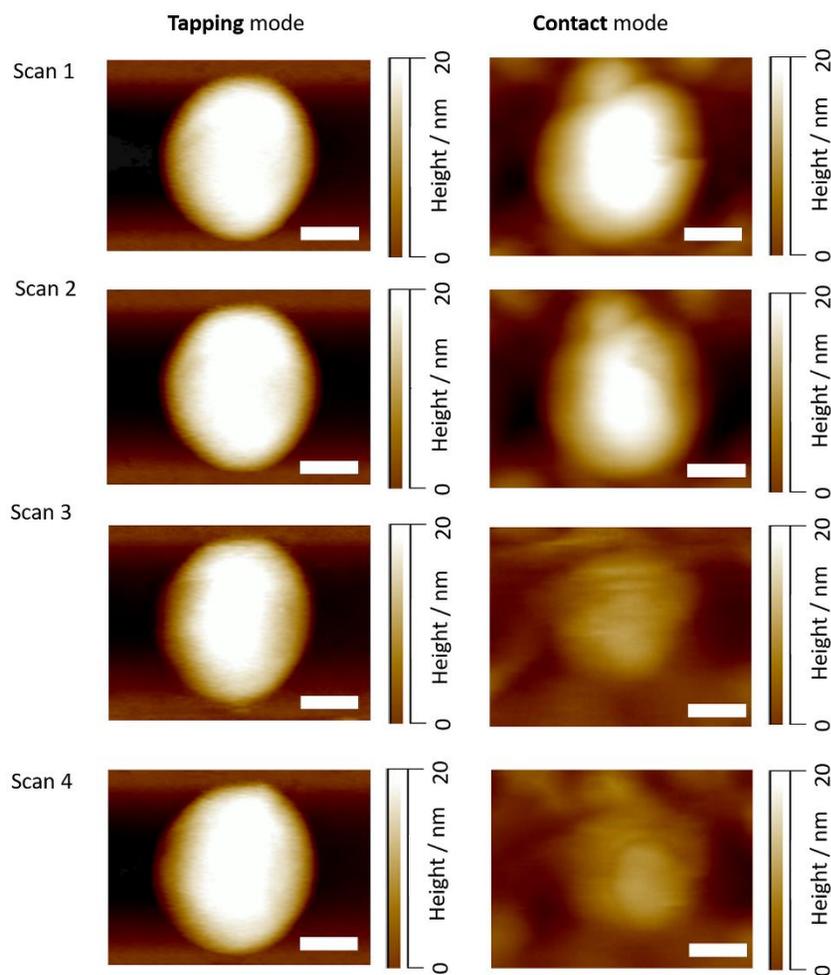


Figure S-3 Comparison between consecutive AFM images of single liposome in tapping mode (left column) and in contact mode (right column) highlighting the high risk of damage for liposomes measured in contact mode. Tapping-mode maps have a pixel size of 0.6 nm in x- and 2 nm in y-direction, respectively. Contact-mode maps have a pixel size of 1 nm in x- and 2.8 nm in y-direction, respectively. The scale bars are 60 nm.

TAPPING-MODE PTIR MAP OF CYTARABINE LOADED LIPOSOME

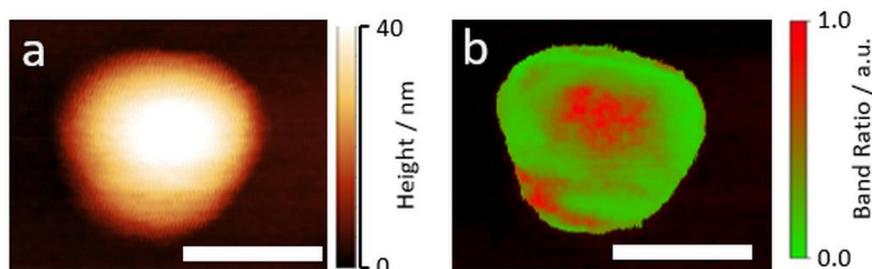


Figure S-4 Tapping-mode PTIR measurement of a representative cytarabine-loaded liposome. (a) PTIR topography image and (b) 1528 cm^{-1} vs. 1734 cm^{-1} PTIR image ratio (i.e. cytarabine vs lipid) of a representative cytarabine-loaded liposome. Red and green color highlight cytarabine rich and lipid rich regions, respectively. The image (0.5 Hz scan rate) has a pixel size of 0.6 nm and 3 nm in x- and y- directions, respectively. The scale bars are 100 nm.

REPEATABILITY OF TAPPING-MODE PTIR IMAGING

Provided that the sample stays intact throughout several scans, tapping-mode PTIR maps show good repeatability (Fig. S-5) and is better suited to study drug encapsulation in single liposomes

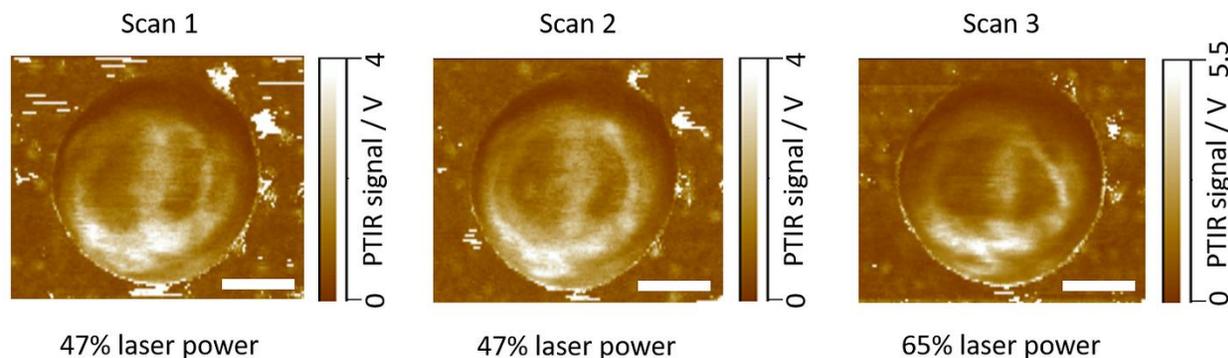


Figure S-5 Three consecutive PTIR maps (1558 cm^{-1}) of the same liposome highlight the good reproducibility of the tapping-mode PTIR method.

QUANTIFICATION OF THE CYTARABINE CONCENTRATION INSIDE LIPOSOMES

The average number of cytarabine (Cyt) molecules encapsulated per liposome (n) was calculated as described below for the liposome formulations obtained from ammonium acetate (AC) and phosphate (Ph) buffer suspensions (see table S-1 for the summary of results). The average cytarabine concentration (C_{Cyt}) encapsulated by the liposomes was determined via capillary electrophoresis (CE, see table S-1) based on the comparison to cytarabine standards. The uncertainty in the cytarabine concentration inside the liposomes is determined by the uncertainty in the CE calibration procedure. The difference of the cytarabine CE peak area obtained before and

after sonication enabled us to calculate the cytarabine load inside the vesicles based on the liposome diameters (d , table S-1) determined by nES GEMMA and an estimated vesicle concentration based on the overall lipid concentration. The uncertainties in the liposomes' diameters represent a single standard deviation based on the GEMMA manufacturer specifications which are in good agreement with previous reports [4].

Because the liposomes' bilayer thickness is strongly dependent on the temperature, lipid composition and lipid distribution within the bilayer, an estimation of the bilayer thickness is difficult. Here we estimate the liposome bilayer thickness (t) in the range between 2.3 nm and 6.2 nm [5–7], (see table S-1) based on literature data on liposomes of similar but not identical composition. Based on the bilayer thickness estimated above, we calculate the maximum volume (V^{int}) available to the cargo within a given liposome - delimited by the liposomal inner sphere with diameter d^{int} (table S-1). n is finally obtained by the product of the cytarabine concentration and average liposome volume.

Table S-1: Estimate for the average number of cytarabine molecules (n) encapsulated per liposome as a function of the average cytarabine concentration inside the liposomes (C_{cyt}) and estimated lipid bilayer thickness (t). d^{int} and V^{int} designate the liposome internal diameter and volume available to the cytarabine cargo, respectively. The uncertainties are single standard deviations and were calculated according to Gaussian error propagation.

	C_{cyt} (mmol/L)	d (nm)	t (nm)	d^{int} (nm)	V^{int} (m^3)	n
Cyt-loaded liposomes (AC)	8 ± 0.3	85 ± 3	4.3 ± 2	76.5 ± 4.7	$(23.4 \pm 4.3) \cdot 10^{-23}$	1129 ± 211
Cyt-loaded liposomes (Ph)	6 ± 0.2	80 ± 2	4.3 ± 2	71.5 ± 4.6	$(19.1 \pm 3.7) \cdot 10^{-23}$	692 ± 135

The above uncertainty on the estimated average number of molecules per liposome ($\approx 19\%$) should be considered as a lower limit. In fact, the encapsulation efficiency for unilamellar (but polydisperse in size) liposomes has been found to be vary as much as 50% even within a single batch [8]. Because of the narrow diameter distribution of the liposomes studied in this work we use 50% as the uncertainty upper limit for average number of molecules per liposome (suggesting 1129 ± 565 molecules and 692 ± 346 molecules for the AC and Ph liposomes, respectively). Based on these considerations, we estimate that the cytarabine content per liposome is in the order of 10^3 molecules (≈ 1.7 zmol).

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