NIST F1 AND F2

T.P. HEAVNER, T.E. PARKER, J.H. SHIRLEY AND S.R. JEFFERTS
NIST – Time and Frequency Division 325 Broadway Boulder, CO 80305

The National Institute of Standards and Technology operates a cesium fountain primary frequency standard, NIST-F1, which has been contributing to International Atomic Time (TAI) since 1999. At the time of the last Symposium on Frequency Standards and Metrology in 2001, the uncertainty of the standard was $\delta f/f_s = 1 \times 10^{-15}$, which was at that time the state-of-the-art. During the intervening 7 years we have improved NIST-F1 so that the uncertainty is currently $\delta f/f_s = 3 \times 10^{-16}$, dominated by uncertainty in the Blackbody radiation induced frequency shift. In order to circumvent the uncertainty associated with the blackbody shift we have built a new fountain, NIST-F2, in which the microwave interrogation region is cryogenic (80K) reducing the blackbody shift to negligible levels. We briefly describe here the series of improvements to NIST-F1 which have allowed its uncertainty to reach the low $10^{-16}$ level and present the first results from NIST-F2.

1. NIST-F1

Table I shows the error budget of NIST-F1 as of the summer of 2001. The type B frequency uncertainty of $1 \times 10^{-15}$ at that time was the smallest achieved by fountain standards. Table I also shows the error budget of NIST-F1 as of July 2008. The type B frequency uncertainty of $3.2 \times 10^{-16}$ defines the 2008 state of the art for frequency uncertainty in fountain frequency standards.

1.1. Spin Exchange Frequency Bias

It is apparent, from Table I, that the frequency uncertainty in 2001 was dominated by the spin exchange shift from collisions between cold cesium atoms. In fact this shift was predicted to likely be the most "troublesome systematic effect of an atomic fountain" [1]. Since that time several new techniques have been brought to bear on the problem of estimating the spin-exchange shift in fountain frequency standards [2, 3]. The spin-exchange shift is no longer a dominant problem in the best fountain frequency standards in use today. In Table I, we show the spin-exchange uncertainty as of 2008 reduced to $\delta f/f_s = 7 \times 10^{-17}$, much smaller than the frequency uncertainty associated with the blackbody radiation shift and microwave effects. This trend is echoed in other cesium frequency standards in various laboratories.
In NIST-Fl we use a traditional extrapolation of the density to evaluate the spin exchange shift, along with a large optical molasses in order to make the density of the sample much smaller than that obtained with the use of a MOT. In addition we achieve temperatures of about 450 nK in the launched molasses. These low temperatures mean that approximately 80% of the atoms entering the Ramsey cavity for the initial microwave interaction eventually contribute to the signal. This allows significant reductions in the initial density (and hence spin-exchange shift) compared to returning atom fractions of 20% that are more typical with 1.5μK atoms. As a result we can achieve reasonable short term stability, \( \sigma_I(t) = 2 \times 10^{-17} \), while keeping the uncertainty in the spin-exchange frequency shift well below \( \delta f/f = 10^{-16} \).

Table 1

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Second Order Zeeman</td>
<td>44.76</td>
<td>0.3</td>
<td>180.60</td>
<td>0.013</td>
</tr>
<tr>
<td>Spin Exchange</td>
<td>0.0</td>
<td>0.84</td>
<td>-0.41</td>
<td>0.07</td>
</tr>
<tr>
<td>Blackbody</td>
<td>-20.6</td>
<td>0.3</td>
<td>-22.98</td>
<td>0.28</td>
</tr>
<tr>
<td>Gravitation</td>
<td>180.54</td>
<td>0.1</td>
<td>179.95</td>
<td>0.03</td>
</tr>
<tr>
<td>Cavity Pulling</td>
<td>&lt;0.1</td>
<td>&lt;0.1</td>
<td>0.02</td>
<td>0.02</td>
</tr>
<tr>
<td>Rabi/Ramsey Pulling</td>
<td>&lt;0.1</td>
<td>&lt;0.1</td>
<td>10^3</td>
<td>10^4</td>
</tr>
<tr>
<td>Microwave amplitude</td>
<td>0</td>
<td>0.2</td>
<td>0.026</td>
<td>0.12</td>
</tr>
<tr>
<td>Cavity Phase</td>
<td>&lt;0.1</td>
<td>&lt;0.1</td>
<td>0.02</td>
<td>0.02</td>
</tr>
<tr>
<td>Light Shift</td>
<td>&lt;0.2</td>
<td>0.2</td>
<td>10^3</td>
<td>10^3</td>
</tr>
<tr>
<td>Adjacent Transition</td>
<td>&lt;0.1</td>
<td>&lt;0.1</td>
<td>0.02</td>
<td>0.02</td>
</tr>
<tr>
<td>Microwave Spectrum</td>
<td>0</td>
<td>&lt;0.1</td>
<td>0.003</td>
<td>0.003</td>
</tr>
<tr>
<td>Integrator Offset</td>
<td>0</td>
<td>&lt;0.1</td>
<td>0</td>
<td>0.01</td>
</tr>
<tr>
<td>AM on microwaves</td>
<td>0</td>
<td>&lt;0.1</td>
<td>0</td>
<td>10^4</td>
</tr>
<tr>
<td>AC Zeeman (heaters)</td>
<td></td>
<td></td>
<td>0.05</td>
<td>0.05</td>
</tr>
<tr>
<td>Total Uncertainty</td>
<td>0.99</td>
<td></td>
<td>0.32</td>
<td></td>
</tr>
</tbody>
</table>

1.2. Blackbody Frequency Bias

As pointed out by Itano [4], the hyperfine splitting of the cesium atom is shifted by the ambient blackbody radiation field. This shift has recently been the subject of some controversy with several measurements being in...
disagreement [5, 6, 7]. The experimental measurements apparently stimulated a great deal of theoretical interest culminating in very high quality calculations of the blackbody frequency bias [8, 9]. At this point the situation seems to be that the shift is well characterized by

\[ \delta \nu / \nu_0 = \beta (T/300K)^{1/2} \left[ 1 + \epsilon (T/300K)^2 \right], \quad \beta = -(1.710 \pm 0.006) \times 10^{-14}, \quad \epsilon = 0.014, \]

where \( T \) is the blackbody temperature of the environment that the atoms in the fountain experience. NIST-F1 operates slightly above room temperature at about 46°C and we estimate that the temperature uncertainty of the radiation field is, at most, 1K, leading to an uncertainty in the frequency bias from this source of \( \delta f / f_0 = 2.8 \times 10^{-16} \). As shown in Table 1 this bias currently dominates the frequency uncertainty of NIST-F1. We note that the calculations referred to above depend on a measured value for the D.C. stark shift and that there have been no direct measurements of the blackbody bias with uncertainties close to the \( \delta f / f_0 = 10^{-16} \) level.

1.3. Microwave Induced Frequency Biases

Ramsey interrogation using a TE_{011} cylindrical microwave cavity is universally used in cesium fountain primary frequency standards reporting to TAI. While this interrogation method is robust, it is still quite easy to introduce frequency biases as a result of various microwave effects. These include spurs in the microwave spectrum, microwave radiation leaking outside the microwave cavity and, position-dependent phase shifts within the microwave cavity itself. A glance at the current (in 2008) error budget in Table 1 shows that these microwave effects account for the second largest part of the uncertainty in the fountain. These effects are quite different from those in traditional thermal beam standards. Our group, as well as others, has investigated these effects in fountain style frequency standards extensively over the past several years [10, 11, 12, 13, 14]. We briefly review some of the conclusions here.

1.3.1. Distributed Cavity Phase

The phase of the microwave field within the Ramsey cavity of a fountain was first investigated by De Marchi et al. He developed a first order model of the phase field and explicitly linked the phase variations to losses and power flows within the cavity [15, 16]. Later many groups expanded on these results with various full 3 dimensional calculations of the phase gradients within the cavities and used these phase gradients to estimate the frequency bias. They assumed the microwave phase shift within the cavity caused a frequency bias
given approximately by \( \frac{\Delta \nu}{\nu} = \frac{\Delta \phi}{\phi_m} = \frac{\Delta \phi}{2\pi \nu_0 T_R} \), where \( \Delta \phi \) is the phase shift in the microwave field, \( \nu_0 = 9.1926 \) GHz is the frequency of the hyperfine splitting and \( T_R \) is the Ramsey time. This is however incorrect. What matters is not the phase variations of the microwave field within the cavity, but the effect on the cesium atom coherent superposition. As we first showed in [13] and was later reconfirmed in [17] the frequency bias shows a large dependence on microwave amplitude as shown in Fig 1 below. This dependence on amplitude allows one to search for frequency biases associated with distributed cavity phase in a relatively unambiguous way (subject to various assumptions discussed in [10, 12]).

![Figure 1](image_url)

**Figure 1** - Error signal caused by distributed cavity phase shift (dotted line) and that caused by microwave leakage after the second Ramsey interaction (solid line). These can be difficult to separate.

### 1.3.2. Microwave Leakage

Microwave fields interacting with the cesium atoms outside of the Ramsey interaction zone are a major source of frequency uncertainty in NIST-F1. These interactions can happen in two distinct places: first atoms can be subjected to a microwave interaction in the drift region above the Ramsey cavity and, second, the phase of the atomic superposition can be altered as well by interactions...
below the Ramsey cavity in the space between the Ramsey cavity and the detection zone. As detailed in [12] interactions above the Ramsey cavity in NIST-F1 are doubly forbidden by the physical structure of the drift region. The 2.5cm diameter drift tube is below cutoff for all microwave modes at 9.2 GHz except the dominant TE_{11} mode. The TE_{11} mode does not cause a frequency shift in first order because the azimuthal dependence of the mode averages to zero for a well centered atomic sample. Also, the drift tube is terminated on both ends and the length is chosen so that the resulting cavity is anti-resonant at 9.2 GHz.

It has been pointed out in [14] that if the two Ramsey pulses are, on average, different, then second order effects can be expected as well. This imbalance can be severe when a MOT is used in a fountain with a traditional "square" (diameter=height) microwave cavity. As a result of the tight MOT confinement and large thermal velocity of the sample, along with the ~20% variation of the microwave field amplitude over the aperture the atomic sample "sees" almost the maximum field in the microwave cavity on the way up with as much as a 10% average reduction on the way down. However, with the flattened cavity in NIST-F1, variation in the microwave field over the aperture is reduced by a factor of 2. Because the molasses is both cold ($v_{\text{thermal}} \approx 0.5$ cm/s) and large (radius ~ 0.5 cm), the two Ramsey excitations differ by less than 1% on average.

These considerations also apply to the use of the cancellation of the spin exchange shift as described in [3]. This cancellation is effected by carefully adjusting the amplitude of the first Ramsey interaction so that the frequency shift of the $|3,0\rangle$ and $|4,0\rangle$ components of the wavefunction (which have different signs at sufficiently low interaction energies) cancel. The very low interaction energies required necessitate the use of a MOT with tight confinement in order to introduce position-velocity correlations in the cloud very quickly. The tightly confined atomic sample has a large intrinsic spin-exchange shift. If the cancellation is not perfect a leakage field complicates the whole picture for two reasons. First, the two passages of the Ramsey cavity have unequal excitation for the reasons detailed above. Second, the leakage field changes the relative amplitude of the $|3,0\rangle$ and $|4,0\rangle$ components thereby affecting the cancellation of the spin-exchange frequency shift. In this case the frequency shift caused by a leakage field can be strongly "leveraged" by the spin-exchange shift with the result that the two effects are difficult to disentangle.

Microwave leakage after the second Ramsey interaction causes a frequency shift which maximizes in a fountain operated at optimum power. The signature of the effect is quite similar to that from distributed cavity phase (as shown in Fig 1). In NIST-F1 we combine these two effects in the error budget when we
search for evidence of microwave effects by operating above optimum power. These combined effects (distributed cavity phase and leakage after the Ramsey interaction) dominate the uncertainty in the microwave amplitude shift at $\delta v/v_0 = 1.2 \times 10^{-15}$.

1.3.3. Microwave Spectrum

As a result of the pulsed operation of fountain standards, along with the possibility of operating well above optimum microwave excitation, spurs reveal rich and complicated features affecting frequency accuracy. We refer here to both incoherent and coherent spurs. Incoherent spurs are those spurs introduced onto the microwave spectrum by, for example, the 60 Hz line frequency: this type of spur generally has random and evolving phase with respect to the fountain cycle time. Coherent spurs are those introduced onto the microwave spectrum by the pulsed operation of the fountain itself. An example is a spur on the microwave spectrum caused by turning off the MOT coils just before launching the atom cloud. Careful study of the microwave spectrum using a spectrum analyzer can provide sufficient knowledge of incoherent spur amplitudes to hopefully eliminate spurs large enough to cause significant frequency errors. As discussed in [11] the magnitude of the frequency shift is difficult to estimate without detailed knowledge of the spur behavior at elevated microwave power. A full discussion of these effects is included in [11, 13] and the references contained therein.

Coherent spurs can cause frequency shifts far in excess of those predicted by the classical theory of spurs in [18]. We have developed in [13] a complete theory which agrees well with the experimental results presented there.

2. NIST-F2

We are developing a new fountain standard, named (imaginatively!) NIST-F2. NIST-F2 is designed to incorporate several unique features: cryogenic operation of the Ramsey interrogation region, Low-Velocity Intense-Source (LVIS) loading of cold atomic samples and multi-pulse operation. In its initial phase, NIST-F2 operates cryogenically. The cold atom loading and the multiple ball operation are not yet implemented.

NIST-F2 is shown schematically in Fig 2. The source is a pure optical molasses operated in a (1,1,1) geometry. Directly above the source region is a state selection cavity which is required for multiple ball operation. The detection region, also at room temperature, is between the source region and the cryogenic, magnetically-shielded Ramsey interrogation region. The magnetically shielded interrogation region is enclosed in a liquid nitrogen dewar
and operates at about 80K. At these temperatures the blackbody shift, which is large in NIST-F1, is reduced in magnitude by a factor of about 250. The microwave interrogation and flight tube region is essentially identical to that of NIST-F1 except that the microwave cavities are tuned to be resonant at the cryogenic operating temperature and not at room temperature.

At the time of the symposium, NIST-F2 had operated for only a few hours. The frequency of the fountain, after correction for the Zeeman shift and the
Blackbody shift, was accurate at the $\delta\nu/\nu = 10^{-14}$ level supported by the statistical uncertainty of the limited data set. We expect to improve this over the next 12 months or so.

3. Conclusions

NIST-F1 is a mature standard and is unlikely to evolve much further. Its total systematic uncertainty of $\delta\nu/\nu = 3.2 \times 10^{-16}$ is strongly limited by the $\delta\nu/\nu = 2.8 \times 10^{-16}$ blackbody uncertainty.

NIST-F2 a cryogenic fountain has recently begun initial operation. This fountain is eventually expected to significantly improve on the current best results (as typified by NIST-F1) with a total uncertainty below $\delta\nu/\nu = 10^{-16}$

4. Acknowledgments

We have been fortunate to have many skillful collaborators over the period since the last symposium in 2001. We gratefully acknowledge long and fruitful collaborations with Elizabeth Donley and Filippo Levi who are responsible for much of the design of NIST-F2. We have enjoyed and benefited from collaborations with Bill Klipstein, John Dick, Eric Burt, Neil Ashby, Stefania Romisch, Dai-Hyuk Yu, Davide Calonico, Sasha Radnaev, Yaric Dudin and Paul Kunz. Don Sullivan, David Smith and Mike Lombardi provided valuable feedback on the manuscript.

Work of the U.S. Government – not subject to U.S. copyright.

References