Effects of magnetism and electric field on the energy gap of bilayer graphene nanoflakes

Bhagawan Sahu,1,* Hongki Min,2,3 and Sanjay K. Banerjee1

1Microelectronics Research Center, The University of Texas at Austin, Austin, Texas 78758, USA
2Center for Nanoscale Science and Technology, National Institute of Standards and Technology, Gaithersburg, Maryland 20899-6202, USA
3Maryland NanoCenter, University of Maryland, College Park, Maryland 20742, USA

(Received 7 October 2009; revised manuscript received 30 November 2009; published 13 January 2010)

We study the effect of magnetism and perpendicular external electric field strengths on the energy gap of length confined bilayer graphene nanoribbons (or nanoflakes) as a function of ribbon width and length using a first-principles density-functional electronic structure method and a semilocal exchange-correlation approximation. We assume \(AB\) (Bernal) bilayer stacking and consider both armchair and zigzag edges, and for each edge type, we consider the two edge alignments, namely, \(\alpha\) and \(\beta\) edge alignment. For the armchair nanoflakes we identify three distinct classes of bilayer energy gaps, determined by the number of carbon chains in the width direction \((N=3p, 3p+1\) and \(3p+2, p\) is an integer), and the gaps decrease with increasing width except for class \(3p+2\) armchair nanoribbons. Metallic-like behavior seen in armchair bilayer nanoribbons are found to be absent in armchair nanoflakes. Class \(3p+2\) armchair nanoflakes show significant length dependence. We find that the gaps decrease with the applied electric fields due to large intrinsic gap of the nanoflake. The existence of a critical gap with respect to the applied field, therefore, is not predicted by our calculations. Magnetism between the layers plays a major role in enhancing the gap values resulting from the geometrical confinement, hinting at an interplay of magnetism and geometrical confinement in finite size bilayer graphene.

DOI: 10.1103/PhysRevB.81.045414 PACS number(s): 81.05.U–, 71.15.Mb, 75.75.—c

1. INTRODUCTION

Bilayer graphene continues to attract attention from the condensed matter physics community as well as from industry because of the prediction and subsequent experimental realization of electric field opening of the band gap,1 the theoretical prediction of the spontaneous charge transfer between the layers and the theoretical realization of a charge based electronic switch.2 The modulation of bilayer gaps by a geometrical confinement (in particular by widths), interlayer magnetism and edge alignments3,4 offers exciting opportunities for designing novel electronic and optical devices.

Recent advancement in the growth of large area graphene films on metal substrates,5 deposition of a dielectric layer on the graphene film,6 and making contacts to graphene for transport studies7 motivates the theoretical understanding of the intrinsic (edges and their alignments, edge disorders, and layer stackings) as well as extrinsic (substrates, contacts, dielectrics, adatoms, and defects) perturbations to the ideal properties of graphene and graphene sheets. In transport measurements, the edges of the graphene flakes which are in electrical contact with a piece of metal or an another piece of graphene play a decisive role in stabilizing an interface potential barrier for flow of electrons across it and this potential barrier depends on the intrinsic gap of the graphene flake.

Theoretical studies of isolated finite-size graphene flakes are, therefore, critical to understand the tunability of the gap value resulting from the edge confinements. Moreover, magnetism which is absent in bulk graphene bilayer can appear because of edges in the finite size graphene flakes. In addition, in bilayer systems, one can apply an external electric field to tune the energy band gap.1 It is, therefore, desirable to understand the effects of magnetism and external electric fields on the energy gaps of geometrically confined isolated graphene sheets.

In this article, we use a density-functional theory based first-principles method8 and a version of semilocal exchange-correlation potential called gradient approximation9 to explore the dependence of the energy gaps of bilayer graphene on geometrical confinements (length as well as width), interlayer magnetism and the external electric fields. We assume Bernal (or \(AB\)) stacked bilayers with both edge types (armchair and zigzag) and for each edge type, we consider two edge alignments (Fig. 1), denoted as \(\alpha\) and \(\beta\) alignments. Such length confined nanoribbons will be called “nanoflakes” throughout this article. In the following discussions, we will refer to gradient approximation as generalized gradient approximation (GGA).

We are not aware of any density-functional theoretical calculations focusing on interplay of energy gap, magnetism, and external electric field in bilayer graphene nanoflakes in

![FIG. 1. (Color online) Schematic illustration of the two edge alignments we consider in bilayer graphene nanoflakes. (a) \(\alpha\) alignment and (b) \(\beta\) alignment.](image)
the literature. Only recently, the energy gap of monolayer nanoflakes was studied using a density-functional based approach.\textsuperscript{10}

Here, we predict interplay of magnetism and geometrical confinement on the energy gap, the existence of three distinct classes of gaps in armchair bilayer nanoflakes, and external electric field decreasing the energy gaps, in addition to capturing some of the predictions made for monolayer graphene nanoflakes. We note that our predicted gap values are useful in optical experiments in which the possibility of exciton formation can be ruled out. The presence of bound excitons in an absorption spectra, however, can significantly change the intrinsic gaps of the graphene flakes.

Our paper is organized as follows. We first summarize the density-functional theory (DFT) electronic structure calculations that we have performed, commenting on the motivation for choosing GGA and the different combinations of lengths and widths in Sec. II. In Sec. III, we present the results that we have obtained for bilayer nanoflake gaps, focusing most extensively on the interplay between width and length, edge magnetism, and the external electric field between the layers. Finally we summarize our results and present our conclusions.

II. DENSITY-FUNCTIONAL THEORY CALCULATIONS

Our electronic structure calculations are performed with plane-wave basis sets and ultrasoft pseudopotentials\textsuperscript{11} implemented in a density-functional theory based electronic structure method.\textsuperscript{3} We use GGA (Ref. 9) as our previous study\textsuperscript{3} suggested the inadequacy of the local density approximation (LDA) (Ref. 12) in capturing the magnetic nature of the ribbons. We note that, in the case of \( \alpha \)-aligned zigzag nanoribbons, the magnetic ground state was found to be sensitive to the nature of the exchange-correlation potential.\textsuperscript{3} Also the choice of GGA enabled us to compare our bilayer nanoflake results with those of the monolayer graphene nanoflakes.\textsuperscript{10}

We fixed the interlayer separation to 0.335 nm between the nanoflake sheets as GGA is found to highly overestimate the interlayer separation of the graphene sheets.\textsuperscript{14} This is due to the absence of nonlocal or van der Waals' interactions in vacuum regions of 1 nm along all three supercell directions. We placed the nanoflakes in a supercell setup with the LDA, GGA, Perdew-Burke-Ernzerhof (PBE) (Ref. 15) and the hybrid versions of exchange-correction potential in DFT. We used both narrow and large width nanoflakes with two edge types and for each edge type, two edge alignments (Fig. 1).

We find that in all cases, the nanoflake with interlayer antiparallel (or antiferromagnetic) magnetic moment arrangement is energetically favorable.\textsuperscript{18} This ground state is lower, by about 0.4 eV, than the interlayer parallel arrangement of the moments, and the nonmagnetic energy barrier is about 1 eV from the ground state of the interlayer antiferromagnetic order. Therefore, all the gap calculations in this article are done with antiferromagnetic order between the layers.\textsuperscript{19}

We note that for both edge types, nanoflakes with \( \alpha \) alignment is found to be energetically favorable over those with \( \beta \) alignments and for the same width and length, \( \beta \)-aligned edges affect the energy gaps more strongly compared to their nonmagnetic counterparts than the \( \alpha \)-aligned edges. For sake of completeness, we will consider both edge alignments for each edge type in this paper.

III. GRAPHENE BILAYER NANOFLAKE GAPS

We now present our results for the width, length, magnetism, and external electric field dependence of the bilayer gaps in nanoflakes with both edge types and two edge alignments. First we discuss the width dependence of both armchair and zigzag nanoflakes with a fixed lengths of \( L = 3.2 \) and 3.3 nm, respectively. Then we vary the lengths up to 6.1 nm and discuss the dependence of the bilayer gaps on the length. It should be noted that an armchair nanoflake with the width/length ratio (\( W/L \)) can equivalently be defined as a zigzag nanoflake with the aspect ratio (\( L/W \)). We will close this section with the external electric field effects on the gaps with fixed as well as variable lengths.

A. Armchair and zigzag bilayers with fixed length confinement

In this section, we discuss the width dependence of gaps in bilayer graphene nanoflakes with both edge alignments for a fixed length. Figure 2 shows variations in the gap versus the nanoflake width for \( \alpha \)-aligned armchair nanoflakes. For comparison, we also show the gaps for bilayer armchair na-
For the class $3p$, magnetism between the bilayers significantly enhances the gaps compared not only to nonmagnetic bilayer nanoflakes but also to corresponding nanoribbons, as seen in Fig. 2(a). But this is not immediately apparent for the class $3p+1$ until the width reaches about 3 nm, where the nanoflake gap tends to exceed the nanoribbon gap. To check whether for larger lengths and widths, magnetic nanoflake gaps exceed corresponding nanoribbon gaps for $3p+1$, we considered a longer ribbon ($L = 4.2$ nm) and a width near 4 nm and found that indeed the bilayer nanoflake gap is larger than the nanoribbon gap (0.27 eV versus 0.12 eV). This indicates an interplay of interlayer magnetism and geometrical confinement in bilayer armchair nanoflakes because the number of magnetic zigzag units increases as the width increases, which slows down the decrease in the armchair nanoflake energy gaps compared to nonmagnetic armchair nanoribbon energy gaps. The behavior of the class $3p+2$ nanoflakes can be understood similarly. For this class, the length confinement resulted in larger gaps compared to the nanoribbons and the magnetism seems to be contributing strongly in enhancing the gaps for wider nanoflakes, which is opposite to the behavior of wider nanoflakes in two other classes $3p$ and $3p+1$. Such increase in class $3p+2$ gaps with the width was also seen in monolayer nanoflakes.$^{10}$

DFT again predicts three classes of gaps for $\beta$-aligned nanoflakes (Fig. 3). Compared to the gaps in $\alpha$-aligned nanoflakes, the gaps are consistently larger for the same width. Note that in bilayer nanoribbons$^3$ the two types of edge alignments provide distinct electronic structures: magnetism in $\beta$-aligned ribbons were more significant than in $\alpha$-aligned ribbons due to a dispersionless nonmagnetic band at the Fermi level spanning $1/4$ of the distance from the edge of the one dimensional BZ although inclusion of magnetic order opened up a gap in the energy spectra of both types. The two nanoflake classes $3p$ and $3p+1$ show similar behavior as in $\alpha$-aligned nanoflakes, again with a hint of order change in class $3p+1$. In class $3p+2$ nanoflakes, the gaps increase with the increase in widths for magnetic nanoflakes while nonmagnetic nanoflakes show behavior similar to the nanoribbons. For wider magnetic nanoflakes of $W > 2.4$ nm, however, the energy gaps begin to decrease as width increases, again indicating the complex interplay of magnetism and geometrical confinement.

Figure 4 shows variations of the gap with the widths in $\alpha$- and $\beta$-aligned zigzag nanoflakes. For comparison, gaps of magnetic nanoribbons and nonmagnetic nanoflakes are also shown. Interlayer magnetism seems to enhance the gap values compared to the gaps resulting from only geometrical confinement (i.e., nonmagnetic gaps), but in contrast to the armchair nanoflakes, the energy gaps of zigzag nanoflakes follow the same trend as corresponding zigzag nanoribbons.

This may be due to the fact that with increasing width in armchair nanoflakes, the number of (magnetic) zigzag units increases whereas in zigzag nanoflakes, increasing the width increases the number of (nonmagnetic) armchair units.

### B. Length dependence of the gaps

We address the length dependence of the nanoflake gaps in this section. The metallic and nonmetallic nanoribbons are
expected to respond differently to changes in length as the authors of Ref. 10 show. Therefore, we considered length confined metallic (class 3p+2) and nonmetallic (class 3p+1) bilayer armchair nanoribbons as well as the nonmetallic bilayer zigzag nanoribbons for the study of length dependence of the gaps. We denote these, respectively, as metallic and nonmetallic nanoflakes in Fig. 5. Both edge alignments were used and both magnetic and nonmagnetic nanoflakes are considered. We considered representative widths corresponding to \(N=10\) and \(11\) (\(W=1.1\) and \(1.3\) nm) for armchair nanoflakes and \(N=6\) (\(W=1.3\) nm) for zigzag nanoflakes. To keep the computational burden low, we chose few representative lengths (\(L\sim 3.2\) to 6.1 nm).

It is seen from Fig. 5 that nonmetallic gaps are weakly dependent on the nanoflake lengths whereas the metallic nanoflake gaps show strong length dependence. Moreover, it is clearly seen that interlayer magnetism enhances the intrinsic gaps in longer nanoflakes. In Ref. 10, it was suggested that in nonmetallic monolayer armchair nanoflakes, the \(\pi\) orbitals are strictly localized along the zigzag edges and therefore the gaps are insensitive to the increase in length, whereas for metallic nanoflakes, the orbitals are delocalized throughout the flake and therefore are sensitive to changes in length. We believe that this will also be true for the bilayer nanoflakes except for the fact that the bilayer orbitals play a role in the localization/delocalization process and the degree of localization will get affected due to interlayer coupling.

C. Electric field effects on the gaps

In this section, we discuss the effect of external electric fields, applied perpendicular to the layers, on the gaps of armchair and zigzag nanoflakes. We considered four different values of the electric fields below the SiO\(_2\) dielectric breakdown field of 1 V/nm. Both wide and narrow nanoflakes were chosen with \(L\sim 3.2\) nm for armchair and \(L\sim 3.3\) nm for zigzag nanoflakes. The electric field decreases the gap in both armchair and zigzag nanoflakes with \(\alpha\)-alignments (Fig. 6), a behavior we predicted for bilayer nanoribbons.\(^3\) A similar behavior is seen for \(\beta\)-aligned nanoflakes (figures not shown).

We note that, recently, we predicted the existence of a critical gap of about 0.2 eV for bilayer nanoribbons below (above) which the electric field has the effect of increasing (decreasing) the gap.\(^3\) We could not verify that such a critical gap can exist for armchair and zigzag nanoflakes because of gap values, all exceeding 0.2 eV, for the chosen widths and lengths. We did additional calculations of electric field ef-

FIG. 3. (Color online) Same as Fig. 2 but for \(\beta\)-aligned bilayer armchair nanoflakes.

FIG. 4. (Color online) Variations in the energy gap of the (a) \(\alpha\)-aligned and (b) \(\beta\)-aligned bilayer zigzag nanoflakes with a fixed length \(L\sim 3.3\) nm. For comparison, the gap values of nonmagnetic nanoflakes and magnetic nanoribbons are also shown. All the gap calculations are done with the same semilocal GGA potential and for magnetic calculations an interlayer antiferromagnetic order was considered.
effects on the longer nanoflakes up to $L=6.1$ nm to search whether there exists a critical gap but again due to the large gap values in longer nanoflakes, no such critical gap was found.

IV. SUMMARY AND CONCLUSIONS

In summary, we have studied, using a first-principles DFT method, the tunability of bilayer nanoflake gaps as a function of interlayer magnetism, lengths and widths, and external electric fields. Bernal (or AB) type of interlayer stacking with two edge types (armchair and zigzag) and two edge alignments ($\alpha$ and $\beta$) were considered. We identify three distinct classes of armchair gaps and show that interlayer magnetism plays an important role in enhancing the confinement-induced gaps. Length-confined metallic armchair nanoribbon gaps are strongly affected by variations in the length. The energy gap as a function of the applied electric field show decreasing trend for both the edge types and alignments. However, the existence of a critical gap cannot be ruled out for nanoflakes with very small intrinsic gaps. We expect that the present results will help stimulate further studies of bilayer gaps in the presence of additional external perturbations such as a substrate and contacts, and motivate experiments to unravel the complicated interplay of magnetism, geometrical confinement, and edge type.

ACKNOWLEDGMENTS

The authors acknowledge financial support from NRI-SWAN center. The work done by H.M. has been supported in part by the NIST-CNST/UMD-NanoCenter Cooperative Agreement. B.S. acknowledges the allocation of computing time on NSF Teragrid machine Ranger (Grant No. TG-DMR080016N) at Texas Advanced Computing Center. The authors thank A. H. MacDonald, UT-Austin for helpful discussions. The authors thank M. D. Stiles, J. J. McCllelland, K. Gilmore, NIST, and L. Colombo, Texas Instruments for their valuable comments.
We find that the ground state deviate slightly from the ideal
interlayer antiferromagnetic order. Therefore, the gap values pre-
scriptions with interlayer antiferromagnetic order.

Interestingly, there is experimental and theoretical evidence of
the existence of edges beyond armchair and zigzag without hy-
drogen saturation [P. Koskinen, S. Malola, and H. Håkkinen,
Phys. Rev. B 80, 073401 (2009)]. The edges, due to stress and
the dangling bonds, reconstruct and form pentagon-heptagon
pairs much like a Stone-Wales defect. However, a hydrogen pas-
sivated zigzag edge ribbon is found to be more stable than the
reconstructed zigzag edge ribbon.

Our previous study on armchair bilayer nanoribbons used LDA
and we found the ribbons to be nonmagnetic (Ref. 3). In order
to compare the bilayer armchair nanoribbon gaps with nanoflake
gaps in this paper, we, therefore, additionally computed the arm-
chair nanoribbon gaps with GGA. Moreover, because of uncertain-
ty in the magnetic ground state for zigzag nanoribbons, we had
assumed parallel (or ferromagnetic) magnetic order between
the layers but in order to have a meaningful comparison with
zigzag nanoflakes, we repeated the zigzag nanoribbon calcula-
tions with interlayer antiferromagnetic order.

We find that the ground state deviate slightly from the ideal
interlayer antiferromagnetic order. Therefore, the gap values pre-
presented in this article are average of spin-up and spin-down gaps.