Signatures of Nonlinear Cavity Optomechanics in the Weak Coupling Regime

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We identify signatures of the intrinsic nonlinear interaction between light and mechanical motion in cavity optomechanical systems. These signatures are observable even when the cavity linewidth exceeds the optomechanical coupling rate. A strong laser drive red detuned by twice the mechanical frequency from the cavity resonance frequency makes two-phonon processes resonant, which leads to a nonlinear version of optomechanically induced transparency. This effect provides a new method of measuring the average phonon number of the mechanical oscillator. Furthermore, we show that if the strong laser drive is detuned by half the mechanical frequency, optomechanically induced transparency also occurs due to resonant two-photon processes. The cavity response to a second probe drive is then in this case nonlinear in the probe power. These effects should be observable with optomechanical coupling strengths that have already been realized in experiments.

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Introduction.—Spectacular advances in the quality factor of nano- and micromechanical oscillators and their rapidly increasing coupling to optical and microwave resonators have given rise to remarkable progress in the field of cavity optomechanics [1,2]. This has enabled cooling of mechanical oscillators to their motional quantum ground state [3,4] and observations of optomechanically induced transparency [5–8], quantum zero-point motion [9,10], as well as squeezed light and radiation pressure shot noise [11–13].

The interaction between light and mechanical motion due to radiation pressure is intrinsically nonlinear. While several theoretical studies of the single-phonon strong coupling regime have been reported recently [14–21], most realizations of cavity optomechanics are still in the weak coupling limit where the coupling rate is much smaller than the cavity linewidth. Experiments to date have relied on strong optical driving, which enhances the coupling at the expense of making the effective interaction linear. Realizations that show promise for entering the strong coupling regime include the use of cold atoms [11], superconducting circuits [6], microtoroids [22], or silicon-based optomechanical crystals [4]. In the latter, a ratio between the coupling rate and the cavity linewidth of 0.005 has been reported [23], and improvements seem feasible [18]. Increasing the coupling strength through collective effects in arrays of mechanical oscillators has also been proposed [24]. To enter the nonlinear regime of cavity optomechanics is of great interest, since it is only then that the internal dynamics can lead to nonclassical states [25].

In this Letter, we study corrections to linearized optomechanics and identify signatures of the intrinsic nonlinear coupling that are observable even with a relatively weak optomechanical coupling. The nonlinear effects we discuss come about due to the presence of a strong optical drive. We show that if this drive is detuned by twice the mechanical frequency from the cavity resonance frequency, two-phonon processes become resonant. This gives rise to a nonlinear version of optomechanically induced transparency (OMIT). OMIT has been well studied in linearized optomechanics [26] and is analogous to electromagnetically induced transparency in atomic systems. We point out that the two-phonon induced OMIT enables a precise measurement of the effective average phonon number of the mechanical oscillator. This provides an alternative to sideband thermometry [9,10,27,28]. Furthermore, we show that OMIT also occurs if the drive is detuned by half the mechanical frequency due to two-photon resonances, and the cavity response to a second probe drive is then nonlinear in probe power. We expect these effects to be observable for coupling strengths that have already been realized in experiments. Their observation would verify the intrinsic nonlinearity of the optomechanical interaction and thus open up the possibility of generating nonclassical states.

To relate to previous work, we note that a two-phonon induced transparency [29] can also occur in optomechanical systems where the cavity frequency depends quadratically on the position of the mechanical oscillator [30]. In addition, the effect of ordinary linear OMIT on higher-order optical sidebands was studied in Ref. [31].

Model.—We consider a standard optomechanical system described by the Hamiltonian $\hat{H} = \hat{H}_{\text{sys}} + \hat{H}_{\text{pump}}$. The system Hamiltonian is

$$\hat{H}_{\text{sys}} = \hbar \omega_{\text{c}} \hat{a}^{\dagger} \hat{a} + \hbar \omega_{\text{m}} \hat{c}^{\dagger} \hat{c} + \hbar g (\hat{c} + \hat{c}^{\dagger}) (\hat{a}^{\dagger} \hat{a} - |\hat{a}_{\text{p}}|^2), \tag{1}$$

where $\hat{a}$ and $\hat{c}$ are the annihilation operators for cavity and mechanical modes, respectively, $\omega_{\text{c}}$ and $\omega_{\text{m}}$ are the cavity and mechanical frequencies, $g$ is the optomechanical coupling constant, and $\hat{a}_{\text{p}}$ is the probe field amplitude.
where $\hat{a}(\hat{c})$ is the photon (phonon) annihilation operator, $\omega_p(\omega_m)$ the bare cavity (mechanical) resonance frequency, and $g$ the single-photon coupling rate. The mechanical position operator is $\hat{x} = \sqrt{\hbar/(2\mu m)}\hat{c}$, where $\hat{c} = \hat{c} + \hat{c}^\dagger$ and $m$ is the effective mass. The cavity mode is driven by a laser at the frequency $\omega_p$. This drive will be referred to as the pump and described by $\hat{H}_{\text{pump}} = i\hbar(e^{-i\omega_p\hat{t}}\Omega_p\hat{a}^\dagger - \text{H.c.})$. The constant $|\hat{a}_p|^2$ in Eq. (1) is included for convenience and simply shifts the equilibrium position of the oscillator. We choose it to ensure that $\langle \hat{x} \rangle = 0$ in the presence of the pump, such that $\hat{x}$ is the oscillator's displacement from its average position.

The three-wave mixing term in Eq. (1) is the source of the phenomena we study here, as we go beyond the usual linearization around a large cavity amplitude. Let us move to a frame rotating at the pump frequency $\omega_p$ and perform a displacement transformation, such that $\hat{a}(t) = e^{-i\omega_p t}[\hat{a}_p + \hat{a}(t)]$. We define $\Delta_p = \omega_p - \omega_c \neq 0$ as the pump detuning from cavity resonance and choose $\hat{a}_p = i\Omega_p/\Delta_p$. This results in the Hamiltonian $\hat{H} = \hat{H}_0 + \hat{H}_1$, where

$$\hat{H}_0 = -\hbar\Delta_p\hat{a}^\dagger\hat{a} + \hbar \omega_m \hat{c}^\dagger\hat{c} + \hbar G(\hat{c} + \hat{c}^\dagger)(\hat{a} + \hat{a}^\dagger).$$

We have introduced $G = g\hat{a}_p$ and assumed, without loss of generality, that $\hat{a}_p$ is real. The coupling $G$ is enhanced by the square root of the average cavity photon number compared to $g$ and provides a bilinear coupling between photons and phonons. This coupling has been well studied, and it is known to give rise to effects such as sideband cooling [34,35]. The cavity and mechanical energy decay rates are $\kappa$ and $\gamma$, respectively. We assume that $\kappa \gg \gamma$ and that the system is in the resolved sideband regime, where $\omega_m > \kappa$, relevant to most experimental realizations.

Note that in the presence of dissipation, the amplitude $\hat{a}_p = \Omega_p\chi_g(\Delta_p)$, where the cavity susceptibility is defined as $\chi_g(\omega) = (\kappa/2 - i\omega)^{-1}$. The drive strength $\Omega_p$ is related to the laser power $P_s$ through $\Omega_p^2 = \kappa_{\text{ext}}P_s/(\hbar\omega_p)$, where $\kappa_{\text{ext}} \leq \kappa$ is the decay rate of the cavity mirror through which the cavity couples to the drive. We let $\kappa_{\text{int}}$ describe other cavity losses, such as decay through the other mirror, scattering out of the cavity mode, absorption, etc. The sum of all decay rates equals the total cavity linewidth $\kappa = \kappa_{\text{ext}} + \kappa_{\text{int}}$.

The quantum Langevin equations are [36]

$$\dot{\hat{a}} = -\left(\frac{\kappa}{2} - i\Delta_p\right)\hat{a} - i(G + g\hat{a})(\hat{c} + \hat{c}^\dagger) + \sqrt{\kappa}\hat{a}_{\text{in}},$$

$$\dot{\hat{c}} = -\left(\frac{\gamma}{2} + i\omega_c\right)\hat{c} - iG(\hat{a} + \hat{a}^\dagger) - ig\hat{a}^\dagger\hat{a} + \sqrt{\gamma}\hat{c}_{\text{in}}.$$
optical input operator in Eq. (7) becomes \(\sqrt{\kappa} \hat{a}_{\text{in}}(t) = e^{-i\delta t} \hat{\Omega}_0 + \sqrt{\kappa_{\text{ext}}} \hat{\xi}_{\text{ext}}(t) + \sqrt{\kappa_{\text{int}}} \hat{\xi}_{\text{int}}(t)\), where the vacuum noise operators \(\hat{\xi}_{\text{ext}}\) obey \(\langle \hat{\xi}_{\text{ext}}(t)\hat{\xi}_{\text{ext}}(t') \rangle = \delta(t-t')\) and \(\langle \hat{\xi}_{\text{int}}(t)\hat{\xi}_{\text{ext}}(t') \rangle = 0\) and similarly for \(\hat{\xi}_{\text{int}}\). The mechanical oscillator is not driven but coupled to a thermal bath, such that the mechanical input operators obey \(\langle \hat{c}_{\text{in}}(t)\hat{c}_{\text{in}}(t') \rangle = (n_{\text{th}} + 1)\delta(t-t')\) and \(\langle \hat{c}_{\text{in}}(t)\hat{c}_{\text{ext}}(t') \rangle = n_{\text{th}}\delta(t-t')\), where \(n_{\text{th}} = (e^{\hbar \omega /kT} - 1)^{-1}\) and \(T\) is the bath temperature. We will solve Eqs. (7) and (8) perturbatively in the single-phonon coupling \(g\) [37]. The coupling \(G\) cannot be treated perturbatively, but we will exploit the fact that \(G/\omega_m \ll 1\).

The presence of two optical drives gives rise to a beat note in the optical intensity at frequency \(\delta \neq \omega_m\) and thus an off-resonant drive on the mechanical oscillator. To avoid parametric instability, the cavity frequency modulations due to the coherent motion induced by this beat note should be much smaller than the cavity linewidth, giving \(gG/\Omega_0/\kappa\omega_m \ll \kappa\) by an order of magnitude estimate. This is easily fulfilled for a weak probe drive \((|\Omega_0|/\kappa \sim 1)\) when \(G/\omega_m, g/\kappa \ll 1\). Note that other instabilities can also arise [39] and must be avoided.

It is again convenient to move to the normal-mode basis and derive Langevin equations for the operators \(\hat{A}\) and \(\hat{C}\). This still gives equations with linear coupling terms whenever dissipation is present. However, let us consider the extreme resolved sideband limit \(\kappa/\omega_m \ll 1\) first, where they simplify to

\[
\dot{\hat{A}} = -\left(\frac{\kappa}{2} - i\Delta_p\right)\hat{A} + \frac{i}{\hbar}[\hat{H}_1, \hat{A}] + \sqrt{\kappa} \hat{a}_{\text{in}}. \tag{9}
\]

\[
\dot{\hat{C}} = -\left(\frac{\gamma}{2} + i\omega_m\right)\hat{C} + \frac{i}{\hbar}[\hat{H}_1, \hat{C}] + \sqrt{\gamma} \hat{c}_{\text{in}}. \tag{10}
\]

The effective mechanical linewidth is \(\gamma = \gamma - \nu\kappa\), where \(\nu = 4\lambda_{\perp}\rho/(1 - \rho^2) < 0\) for \(\Delta_p < 0\). The effective frequencies \(\omega_m\) and \(\Delta_p\) were defined above. Note that \(|\nu| \sim (G/\omega_m)^2 \ll 1\) such that the effective mechanical linewidth is still small compared to the cavity linewidth, i.e., \(\gamma \ll \kappa\). The effective mechanical noise operator is defined by \(\sqrt{\gamma} \hat{c}_{\text{in}} = \sqrt{\gamma} \hat{c}_{\text{in}} + \sqrt{\kappa}(\lambda_{\perp} \hat{\xi} + \lambda_{\perp} \hat{\xi}^\dagger)\)

when ignoring the beat note and defining \(\sqrt{\gamma} \hat{\xi} \equiv \sqrt{\kappa_{\text{ext}}} \hat{\xi}_{\text{ext}} + \sqrt{\kappa_{\text{int}}} \hat{\xi}_{\text{int}}\). Its autocorrelation properties are the same as for \(\hat{c}_{\text{in}}\), but with \(n_{\text{th}}\) replaced by the effective phonon number \(n_m = (\gamma n_{\text{th}} + \kappa\lambda^2)/\gamma\).

Two-phonon induced transparency.—We start by focusing on the case of a pump detuned by twice the mechanical frequency \(\Delta_p = -2\omega_m\), where two-phonon processes are resonant according to Eq. (5). Such processes have been studied before for systems with so-called quadratic optomechanical coupling [30], and it has been shown that they can lead to OMIT [29] much in the same way as single-phonon processes do with ordinary linear optomechanical coupling [26]. We will now see that two-phonon induced transparency can also occur in the case of linear optomechanical coupling, without the need for a nonzero quadratic coupling [40].

By solving Eqs. (9) and (10) perturbatively in the single-phonon coupling \(g\) and transforming back to the original operators, we calculate the optical coherence \(\langle \hat{a}(t) \rangle\) at frequencies close to the resonance frequency. Defining the probe beam detuning by \(\Delta_s = \omega_s - \omega_m\) and the effective detuning \(\Delta_s = \Delta_s - \Delta_p + \Delta_p\), we find \(\langle \hat{a}(t) \rangle = e^{-i\beta t} \hat{a}_s\), where

\[
\hat{a}_s = \hat{a}_{s,0} \left(1 - \alpha - \frac{2g^2 \chi_r(\Delta_s)(\hat{c}_s^\dagger)}{\gamma - i(\Delta_s - \Delta_p - 2\omega_m)}\right) \tag{11}
\]

and \(\hat{a}_{s,0} = \Omega_s \chi_r(\Delta_s).\) The first term in Eq. (11) is the response of an empty cavity. The second term \(\alpha\) is a small and unimportant correction due to off-resonant processes [41]. The last term gives rise to a narrow dip of width \(2\gamma\) in the coherent amplitude as well as a group delay of the input signal. This is analogous to the well-studied case of linear OMIT for pump detuning \(\Delta_p = -\omega_m\). In the case of \(\Delta_p = -2\omega_m\), however, the effect is not due to coherent driving of the mechanical oscillator [42]. The size of the effect rather depends on the average mechanical fluctuations through \(\langle \hat{c}_s^\dagger \hat{c}_s \rangle = (2n_m + 1)\). This is connected with the fact that the interaction (3) produces optical sidebands at integer multiples of \(\omega_m\), whose magnitudes will increase with the size of the mechanical fluctuations. Note that \(\langle \hat{c}_s^\dagger \hat{c}_s \rangle\) can be increased by mechanically driving the oscillator.

If the system is not in the extreme resolved sideband limit \(\kappa/\omega_m \ll 1\), Eq. (11) is still valid with some corrections to the parameters, which can be found in Ref. [43].

The cavity response \(|\hat{a}_s|^2\) to the probe drive is plotted in Fig. 2 for \(g/\kappa = 0.01\) and 0.03. The dip in \(|\hat{a}_s|^2\) corresponds to a dip in either transmission or reflection of the probe depending on the experimental setup. The parameters we used are expected to soon be within reach for silicon-based optomechanical crystals [23]. We note that experimental studies of linear OMIT [5–7] have showed the ability to resolve dips at the percent level. Coherent interference dips are in general much easier to resolve than the incoherent noise peaks usually measured in sideband thermometry [3,9,10,27,28].

The result (11) provides a new way of measuring the average phonon number of the mechanical oscillator. To see this in an easy way, let us assume \(\kappa/\omega_m \ll 1\) and \(\Delta_p = -2\omega_m\), and that the mechanical oscillator is not
driven. We define the dimensionless size of the dip \( d \equiv 1 \) at \( \Delta_s = 0 \) as \( d = 1 - |\tilde{a}_s|/|\tilde{a}_{s,0}|(1 - \alpha)^2 = 2K_1(2n_m + 1) \) to lowest order in \( g \), where \( K_1 = 4g_2^2/(\kappa\gamma) \) is the effective single-photon cooperativity. In the limit where the optical broadening of the mechanical linewidth is significant, i.e., \( \kappa(G/\omega_m)^2 \gg \gamma \), the size of the dip becomes \( d = 9(g/\kappa)^2(2n_m + 1) \). We observe that the dip size \( d \) increases with temperature and does not depend on the probe drive strength \( |\Omega_s| \). Note that Fig. 2 is the response in the low-temperature regime \( n_m \ll 1 \), showing that the effect could be a useful tool for verifying ground state cooling.

The linear dependence on the oscillator fluctuations \( \langle z_s^2 \rangle \) is a result of using perturbation theory and is only valid when \( g\sqrt{\langle z_s^2 \rangle}/\kappa \ll 1 \). To gain further insight, let us consider the high-temperature regime \( n_m \gg 1 \). For \( \Delta_s = 0 \) and \( \Delta_p = -2\tilde{\omega}_m \), a semiclassical approximation gives \( \tilde{a}_s = \tilde{a}_{s,0}/(1 + K_1(\tilde{z}_s^2)) \), from which Eq. (11) follows by expansion in \( g\sqrt{\langle z_s^2 \rangle}/\kappa \). Thus, while a dip at the percent level as in Fig. 2 can be observable, the effect should be easily detectable in the high-temperature regime. For example, for an oscillator at room temperature with \( \omega_m = 2\pi \times 3\text{GHz} \), \( g/\kappa = 0.01 \), \( \omega_m/\gamma = 10^5 \), \( \kappa/\omega_m = 0.1 \), and \( G/\omega_m = 0.05 \), we get \( n_m = 2 \times 10^3 \) and \( n_m = 90 \), and the dip size becomes \( d = 0.14 \).

Finally, we note that while the two-phonon OMIT is a classical effect, its presence in the low-temperature limit \( n_m \to 0 \) is solely due to mechanical quantum zero-point fluctuations.

Two-phonon induced transparency.—We now consider the case of the pump drive detuned by half the mechanical frequency \( \Delta_p = -\tilde{\omega}_m/2 \), giving rise to the Hamiltonian (6). Again, we calculate the optical coherence for frequencies close to the cavity resonance frequency, restricting ourselves to the regime \( \kappa/\omega_m \ll 1 \) for simplicity. We find

\[ \langle \hat{a}(t) \rangle = e^{-i\Delta_p /2} \tilde{a}_s \]

when ignoring a very small term of order \( \alpha G/\omega_m \). There is also an OMIT effect in this case, as seen from the last term in Eq. (12), since two probe photons can be converted to one phonon and vice versa. The dip size for \( \Delta_p = -\tilde{\omega}_m/2 \) at \( \Delta_s = 0 \) becomes \( d = 4K_2|2\Omega_s/\kappa|^2 = 32(g/\kappa)^2(G/\omega_m)^2|2\Omega_s/\kappa|^2 \), where the cooperativity is \( K_2 = 4g_2^2/(\kappa\gamma) \) and the second equality assumes \( \gamma \gg \gamma \).

The amplitude \( |\tilde{a}_s|^2 \) for \( \Delta_p = -\tilde{\omega}_m/2 \) is plotted in Fig. 3. We see that even for \( g/\kappa \ll 1 \), the dip could be observable as it grows with increasing probe power. Note that this effect does not depend on mechanical fluctuations but is a result of coherent motion of the oscillator at the mechanical resonance frequency induced by two-phonon processes.

Numerics.—To corroborate our analytical results, we have numerically solved the quantum master equation [43]. Figures 2 and 3 show that the numerical and analytical calculations are in good agreement.

Conclusion.—We have studied corrections to linearized optomechanics and identified signatures of the intrinsic nonlinear coupling between light and mechanical motion. The signatures are nonlinear versions of optomechanically induced transparency that come about due to resonant two-photon or two-phonon processes in the presence of a strong, off-resonant optical drive. These effects are observable even when the single-phonon coupling rate is smaller than the cavity linewidth and are thus relevant to present-day experiments [5–7].

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Note added.—Recently, we became aware of related works by Lemonde, Didier, and Clerk [44] and by Kronwald and Marquardt [45].

[25] By nonclassical states, we mean states where the Wigner function has regions of negativity.
[36] Since the mode hybridization is weak and the frequencies involved are only slightly renormalized, we can include dissipation in the standard way.
[37] The unperturbed system is stable when \(G^2 < [(|\kappa|/2)^2 + \Delta p^2]/\omega_m/\gamma < 1\) [38], which is satisfied here.
[40] Note that for a general position-dependent cavity resonance frequency \(\omega_m(x)\), the two-photon effect we describe will dominate over that due to quadratic optomechanical coupling as long as \(\partial \omega_m/\partial x^2 \gg \omega_m \partial^2 \omega_m/\partial x^2\), a condition that is typically valid. It is usually very hard to achieve a sizable quadratic coupling and much easier to ensure that it is small.
[41] To achieve \(\alpha \approx \alpha_G + i \alpha_I\), with \(\alpha_G = g^2 |\chi_1(\Delta)|^2 (|n_m + 1|) \chi_1(-\omega_m) + n_m \chi_1(\omega_m)|\) and \(\alpha_I = -2g^2 |\Omega|^2 |\chi_2(\Delta)|^2 \chi_1(\Delta)/\omega_m\). \(\alpha_G\) comes from Raman scattering of probe photons to the sideband frequencies \(\omega_\pm \omega_m\). \(\alpha_I\) reflects the fact that a finite number of probe photons in the cavity gives a small shift to the oscillator equilibrium position and hence the cavity resonance frequency.
[42] The linear OMIT originates from the coherent driving of the oscillator by the optical beat note. In our case, the far-off-resonant drive at \(\delta \sim 2 \omega_m\) only leads to the shift of the cavity resonance frequency included in \(\Delta_\phi\) and \(\Delta_\alpha\).