Quantifying Short-Lived Events in Multistate Ionic Current Measurements

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**ABSTRACT** We developed a generalized technique to characterize polymer–nanopore interactions via single-channel ionic current measurements. Physical interactions between analytes, such as DNA, proteins, or synthetic polymers, and a nanopore cause multiple discrete states in the current. We modeled the transitions of the current to individual states with an equivalent electrical circuit, which allowed us to describe the system response. This enabled the estimation of short-lived states that are presently not characterized by existing analysis techniques. Our approach considerably improves the range and resolution of single-molecule characterization with nanopores. For example, we characterized the residence times of synthetic polymers that are three times shorter than those estimated with existing algorithms. Because the molecule’s residence time follows an exponential distribution, we recover nearly 20-fold more events per unit time that can be used for analysis. Furthermore, the measurement range was extended from 11 monomers to as few as 8. Finally, we applied this technique to recover a known sequence of single-stranded DNA from previously published ion channel recordings, identifying discrete current states with subpicoampere resolution.

**KEYWORDS:** nanopores · electrical circuit models · DNA sequencing · single-molecule measurements

**RESULTS AND DISCUSSION**

Equivalent Electrical Model. The interactions of single molecules with nanopores are observed by measuring changes to the ionic current that occurs when the pore changes through them can be gated, or fluctuate akin to a two-state random telegraph signal. Characterizing these gating kinetics provides insight into the molecular basis of channel activity. However, because some events are short-lived, they do not always attain an observable steady state ionic current and therefore can be missed or ignored in analyses. Several methods, including hidden Markov modeling, kinetic simulations, and direct fitting of channel events to the response of the measurement electronics, were developed to correct for missed events and markedly improve estimation of the open and closed channel intervals. Most of these techniques implicitly assume that the system fluctuates between two states (infinite and one finite resistance values).

Nanometer-scale pores also have demonstrated potential use in biotechnology applications, including DNA sequencing, single-molecule force spectroscopy, and single-molecule mass spectrometry. The latter can be extended to deduce the geometry of a nanopore without high resolution crystallographic information. The data modeling and analysis methods described in this work potentially allow for dramatic improvements in the quantification of molecular interactions with the channel in each of these applications.

In contrast to gating in ionic channels, the pore conductance in single-molecule measurement applications generally has states, where > 2. Techniques to characterize single-molecule interactions with nanopores include thresholding methods, modeling with equivalent circuits, and Viterbi decoding to improve DNA sequence analysis, but are limited to characterizing events when the channel conductance reaches a steady state. The techniques described here address this limitation, and enable the characterization of systems that attain multiple discrete short-lived states.

Received for review November 5, 2013 and accepted January 7, 2014.

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Published online January 07, 2014 10.1021/nn405761y

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The measured data yielded estimates of quency (blue markers). A least-squares the nanopore circuit model was validated by measuring the impedance of the calibration resistor respectively. The corrected nano-
the transfer functions of the measurement apparatus.

\[ R_{\text{app}} = \frac{1}{G(s)} \]  \hspace{1cm} \text{and} \hspace{1cm} \text{relative frequency response}

The nanopore frequency response implicitly includes the transfer function of the measurement apparatus, which must be removed as shown in Figure 1B (and described in the Methods section). The corrected open channel impedance (magnitude and phase) of the nanopore as a function of frequency is shown in Figure 1C (blue markers). Fitting eq 1 to the measured nanopore impedance yields excellent agreement (Figure 1C, black), resulting in model parameters \( R_p = 255 \, \text{M}\Omega, R_{\text{cis}} = R_{\text{trans}} = 14 \, \text{M}\Omega, \) and \( C_m = 1.6 \, \text{pF}. \)

To further validate this model, we also measured the impedance of the \( \alpha \text{HL} \) channel incorporated in a large (\( \approx 50 \, \mu \text{m} \)) solvent-free membrane (see Methods). A least-squares fit (black) of eq 1 to that measured nanopore impedance yields excellent agreement (Figure 1C, black), resulting in model parameters \( R_p = 300 \, \text{M}\Omega, R_{\text{cis}} = R_{\text{trans}} = 1.1 \, \text{M}\Omega \) and \( C_m = 105 \, \text{pF} \) (not shown). As expected, model parameters for this latter case differ considerably from the micro capillary-based measurements. The larger membrane area results in a greater capacitance, but \( R_{\text{cis}} \) and \( R_{\text{trans}} \) are smaller due to the less-confined geometry of the test cell, compared with the glass micro capillary system.

Note that the current measurement technique reported in this work does not allow us to separate asymmetric contributions of the access resistance from the \( \text{cis} \) \( R_{\text{cis}} \) and \( \text{trans} \) \( R_{\text{trans}} \) sides of the channel. Therefore, \( R_{\text{cis}} + R_{\text{trans}} \) is the combined access and solution resistances of the system.
The fluctuations of a molecule’s internal degrees of freedom when it interacts with a nanopore are too fast to resolve with existing instrumentation. However, nanopore-molecule interactions result in a discrete changes in the measured conductance, for example, from individual DNA bases translocating across the channel. This allows us to model single-molecule events, assuming a constant applied potential \( V_a \) using a series of \( N \) instantaneous step changes in the ionic current, each representing a transition from one state to another. In Laplace space, each transition is modeled with a Heaviside step function, \( R_p(s) = \Delta R_p/s \), where \( \Delta R_p \) is the instantaneous change in pore resistance, per unit time. We can obtain a expression for the nanopore current response of a single transition by substituting eq 1 into \( i(s) = V_a/Z(s) \) and simplifying,

\[
\frac{i(s)}{s} = \frac{\alpha s}{1 + s\tau} \quad (2)
\]

where \( \alpha = (1/\Delta R_p + C_m)\alpha_a \) and \( \tau = (R_{\text{cis}} + R_{\text{trans}})/(1/\Delta R_p + C_m) \). The inverse Laplace transform of eq 2 yields an exponentially decaying time-domain current response,

\[
i(t) = -\frac{\alpha}{\tau} e^{-t/\tau} + i_0, \quad t > 0 \quad (3)
\]

where \( i_0 \) is the open channel current offset. Eqs 2 and 3 suggest that the experimentally observed RC time constant \( \tau \) is characteristic of the molecule interacting with the pore and related to the molecule’s physical properties (e.g., volume, charge, etc.).

**Single-Molecule Analysis.** Equation 3 provides the basis for practical single-molecule analysis using the model described above. In this model, the fit parameters, such as the RC time constant \( \tau \) and step height \( \alpha \), are defined by the values of the underlying electrical components. While knowing the system response (see Figure 1) allows us to extract the values of these underlying electrical components to yield physical insight into the system, a priori knowledge of these parameters is not necessary to analyze single-molecule ionic current time-series. Instead, we describe the molecule interactions (e.g., entry into or exit from the channel) with the pore as discrete changes in the channel conductance. For \( N \)-discrete conductance states, eq 3 was generalized by summing individual contributions. In this case, the ionic current is

\[
i(t) = i_0 + \sum_{j=1}^{N} a_j \left( 1 - e^{-t/\mu_j} \right) H(t - \mu_j) \quad (4)
\]

where \( H \) is the Heaviside step function with delay \( \mu_j \) and step height \( a_j \) of the \( j \)th state. Parameters for complex events with \( N \) discrete steps are estimated by fitting eq 4 to the data. A detailed protocol to analyze measured ionic current time-series is described in the Methods section.

**Poly(ethylene glycol) Measurements.** We applied the technique described above to analyze a mixture of poly(ethylene glycol) (PEG) molecules (see Methods for experimental protocol) with mean molecular weights \( (M_w) \) of 400 g/mol (pPEG400: 40 \( \mu \)M), 600 g/mol (pPEG600: 20 \( \mu \)M) and highly purified PEG11 (502 g/mol, polymer index \( n = 11 \); 2 \( \mu \)M), in 4 M KCl at pH 7.2. The molecules were measured and characterized individually with a single \( \alpha \)Hl nanopore,\(^{25,26,38} \) Data were collected with amplifier bandwidths of 10 and 100 kHz, and sampling frequency, \( F_s \) = 500 kHz. To minimize the fitting error, we excluded exceedingly short-lived events (with residence times of a single PEG molecules in the pore, \( \delta \mu < 16 \mu s \) or 8 sample points). Figure 2 shows the current time-series for four representative events, when \( V_a = -40 \) mV. In the absence of PEG, the mean open channel current was \( (i_0) = -144 \pm 2 \) pA. Individual PEG blockade events were first identified and separated (see Methods for data analysis details). A least-squares fit of eq 4 (with \( N = 2 \)) to the data corresponding to individual events (Figure 2) yields the amplitude of the ionic current step change \( (a) \), RC constant \( (\tau) \), and residence time \( (\delta \mu) \).

Equation 4 implies that the ionic current approaches 99.3% of \( a \) (steady-state value) when \( \delta \mu = 5 \tau \). In addition to quantifying long events \( (\delta \mu > 5 \tau; \text{Figure 2A}) \), this technique allows us to characterize short-lived events \( (\delta \mu < 5 \tau) \) by extrapolating the exponentially decaying current to its steady-state convergence value (Figure 2B–D). Therefore, the strength of this method lies in its ability to analyze short-lived events that are missed by existing analysis tools.\(^{26,29,39} \)

The ability to characterize short events considerably improves the size-based separation of single molecules.\(^{25,26,38} \) Figure 3A shows a histogram of blockade depths \( (\langle \delta i \rangle/\langle i_0 \rangle) \), for the measured PEG sample. Each peak corresponds to a particular size polymer (the height is proportional to that polymer’s concentration) and two peaks differ by one ethylene glycol monomer.
The same data were analyzed independently with the new technique and a commonly used current thresholding algorithm. For the higher bandwidth ($B = 100$ kHz, $F_p = 500$ kHz) data, the thresholding algorithm recovered ≈12,000 events (Figure 3A, gray markers), corresponding to molecules ranging in size from PEG11 to PEG17. In contrast, the new method recovered ≈217,000 events (18-fold more than the previous method, Figure 3A, orange markers), resulting in molecules sized from PEG8 to PEG19 (black curve). With the use of a more common filter ($B = 10$ kHz and $F_p = 500$ kHz), the new technique detected 8 unique species ranging in size from PEG9 to PEG16 (Figure 3B, orange markers), while the thresholding algorithm failed to resolve any PEG species in this small molecule size regime (gray markers).

A maximum likelihood algorithm was used to assign ionic current blockade events to each species, shown in the mass spectrogram, in Figure 3A. This allowed the construction of distributions of molecular residence times, $\delta t_m$ (Figure 3C), shown for PEG10 (blue), PEG12 (orange), and PEG14 (green). Each distribution follows a single exponential function, which suggests both a simple interaction between the polymer and pore, and is consistent with previous results. We estimated the mean residence time of the molecules in the nanopore from a least-squares fit of a single exponential function to the distribution of $\delta t_m$ for each molecule to yield $10.5 \pm 0.6$, $18 \pm 1$, and $29 \pm 1$ $\mu$s for PEG10, PEG12, and PEG14, respectively. The mean residence time decreased with PEG size, as demonstrated in earlier work.

**Poly(ethylene glycol) Measurements: Physical Validation.**

We validated the separation of PEG molecules with an αHL nanopore using a previously developed analytical theory that was refined by molecular dynamics (MD) simulations. The analytical theory assumes that PEG decreases the pore conductance by volume exclusion and the formation of reversible PEG–cation complexes. Therefore, in addition to geometrical parameters, expressions for $<(i)/(i_0)>$ and $\delta t_m$ include the free energy change from PEG binding a single cation ($\Delta G_{\text{pore}}$), the free energy change per monomer associated with confining PEG to the nanopore ($\Delta G_c$) and the average number of PEG monomers that are bound to a single cation ($r_n^m$).

Figure 3D shows the least-squares fit of the previously developed analytical theory to the mean blockade depth ($<(i)/(i_0)>$, circles) and residence time ($\delta t_m$, squares) as a function of polymer size. The data are consistent with previous results, e.g., $r_n^m = 0.1$, $\Delta G_c = 0.1$ $k_B T$ and $\Delta G_{\text{pore}} = -4.75$ $k_B T$ at room temperature.

Interestingly, the value of $\Delta G_c$ indicates that the smallest measured polymers ($n < 10$) have...
confinement energies lower than the thermal energy. With such a low entropic barrier, the capture probability for small molecules will likely have a different rate-limiting step, compared with larger molecules, and consequently a different mechanism responsible for the rate at which these molecules partition into the channel. Our ability to measure the smallest polymers interacting with the nanopore could have interesting implications in quantifying the capture rate and residence times of small molecules. Combining analytical theory with the analysis tools developed herein will permit the quantification of interactions between small molecules and the nanopore.

Complex Events: Single-Stranded DNA. The method described above is directly applicable to arbitrarily complex events with \( N \) discrete states. To illustrate this capability we analyzed ionic current time-series from a proposed nanopore-based method for DNA strand sequencing. The *Mycobacterium smegmatis* porin A (MspA) was used to determine the ionic conductance levels that correspond to a known DNA sequence. The data in Figure 4 was reconstructed from work by Gundlach and colleagues assuming the root-mean-square (RMS) noise in the ionic current was 1.2 pA, which result from systematic fluctuations in measuring sequential thymine charge of the molecule. An ionic current thresholding algorithm was first used to generate initial guesses for the fit parameters in eq 4 as described in the Methods section. Fitting the model to the published time series data, we directly identified 26 unique events (Figure 4, black), corresponding to individual bases of the DNA strand. Significantly, we are able to identify step changes in the current as small as 0.9 ± 0.04 pA, which result from systematic fluctuations in measuring sequential thymine bases at the start of the sequence.

Effects of Noise, Bandwidth, and Sampling Rate. The techniques described in this paper, for characterizing previously missed nanopore events, will, in some cases, be limited by existing instrumentation. For example, despite restricting the analysis to events with \( \delta \mu > 16 \, \mu s \), the technique enabled the determination of the mean residence time of PEG8 (6.1 ± 0.9 \( \mu s \)), because the distribution of \( \delta \mu \) follows a single exponential form. Such characterization, however, is much more difficult with even smaller molecules, which exhibit a lesser signal-to-noise ratio.

Increasing the sampling rate would improve the fit of eq 4 to the data, but this strategy is technologically limited by the resolution of fixed-precision analog-to-digital converters. Building on early work, recent designs of amplifiers have drastically increased the available bandwidth when monitoring ionic current. While increasing the amplifier bandwidth improves signal fidelity, it also increases noise, especially from statistical counting errors in the ionic current. These considerations suggest a lower limit when measuring PEG with the \( \alpha \)HL nanopore, but more generally impose limits on characterizing molecules using ionic current measurements in nanopores. With these constraints, however, optimization is possible to extend the range of ionic current measurements. A detailed analysis of the errors and any potential optimization will be explored in future work.

Finally, it is important to note that this technique is not limited to ionic current measurements of single molecules. In some cases, the measurement can be considerably improved by employing alternate detection schemes that record the tunneling current across a molecule, or the drain current in a transistor. This electronic current can be modeled using the system response in a manner similar to that described in this work. This in turn has the potential to improve the resolution and detection limits of those electronic measurement techniques.

CONCLUSIONS

We developed a technique to analyze transient events in single nanopore ionic current recordings, which fluctuate between \( N \) discrete states. A single nanopore system is modeled using equivalent circuit components based on the system physics and molecule–pore interactions. This representation, and its accompanying closed-form analytical expressions, allow the use of circuit response theory to considerably extend the range of nanopore measurements. For example, an intrinsic change in the system impedance from a molecule entering the channel was shown to be related to physical quantities such as the volume or charge of the molecule. Because this model predicts the response of the nanopore to a molecule interacting with it, we are able to characterize short-lived events that would otherwise be ignored. Applying this technique to the measurement of individual PEG molecules with an \( \alpha \)HL nanopore resulted in the detection of nearly 20-fold more events per unit time than existing methods, and allowed the characterization of PEGs with as few as 8 monomers (370 g/mol; approximately the size of sucrose). This technique was also applied to correctly identify individual bases...
in single-stranded DNA. Moreover, the technique allowed the measurement of systematic fluctuations in the ionic current as small as 0.9 ± 0.04 pA. As demonstrated here, the significantly improved sensitivity and resolution of this analysis technique has direct implications for DNA and protein sequencing, where discarded events negatively impact the accuracy and reliability of the measurement.

METHODS

Capillary Nanopore Measurements. Single nanopore measurements were performed using quartz capillaries with an ≈1 μm diameter aperture at one end. Solvent-free lipid bilayers were formed across the aperture using 10 mg/mL 1,2 diphytanoyl-sn-glycerol-3-phosphatidylcholine (DPhyPC; Avanti Polar Lipids, Alabaster, AL) in n-decane. Certain commercial entities, equipment, or materials may be identified in this document in order to describe an experimental procedure or concept adequately. Such identification is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the entities, materials, or equipment are necessarily the best available for the purpose. The capillary was filled with poly(ethylene glycol) (PEG; Fluka, Switzerland; 20 μM of PEG600 (mean molecular weight 400 g/mol), and a calibration standard of 2 μM of PEG400 (mean molecular weight 400 g/mol), and a calibration standard of 2 μM of PEG400 (mean molecular weight 600 g/mol), 40 μM of PEG400 (mean molecular weight 400 g/mol), and a calibration standard of 2 μM highly purified PEG11, Mw ≈ 502 g/mol (Polypure, Norway). PEG was dissolved in 4 M KCl (Sigma-Aldrich, St. Louis, MO), buffered with 10 mM 2-amino-2-hydroxymethyl-propane-1,3-diol (TRIS; Schwarz/Mann Biotech, Cleveland, OH), and titrated to pH 7.2 using 3 M citric acid. After ≈10 min, 0.5 μL of 0.5 mg/mL S. aureus α-hemolysin (αHL; List Biological Laboratories, Campbell, CA) was added to the external solution chamber. To decrease the membrane thickness and facilitate channel incorporation, a static pressure between 80 and 120 mmHg was applied and the pressure was reduced to 40 mmHg. PEG data were measured with an amplifier bandwidth of 100 kHz and sampled at 500 kHz (Electronic BioSciences, San Diego, CA).

Nanopore Impedance Measurements. Capillary Measurements. The impedance of a single αHL nanopore system (including the lipid membrane, electrolyte solution, and the αHL nanopore, in the test cell), formed using the protocol described above, was measured as a function of frequency. After the formation of a single stable channel, the response (magnitude and phase of the ionic current) to a constant amplitude sine stimulus with varying frequency (swept sine) was recorded. The measurement was performed using a dynamic signal analyzer (HP 35670A, Palo Alto, CA) from DC to 50 kHz. After correcting for the transfer function of the measurement apparatus, as described below, the impedance of the nanopore system was recovered as a function of frequency (Figure 1C, blue markers).

Large Membrane Measurements. A lipid membrane was formed across an ≈50 μm diameter aperture in a 25 μm thick Teflon partition separating two 2.5 mL electrolyte reservoirs (4 M KCl, 10 mM Tris, pH 7.2) using a folding method.57–49 A volume of 5 μL of 0.5 mg/mL αHL solution was added to one chamber and stirred briefly to uniformly distribute the protein. A swept sine measurement using a Modulab potentiostat equipped with a frequency response analyzer and low current amplifier (Solarton Analytical, Farnborough, U.K.) was then run on a continuous loop to record the insertion of individual nanopores into the membrane. The measurement was carried out over a frequency range of 1 Hz to 100 kHz with a fixed 10 mV RMS amplitude sinusoidal potential. The data for a single channel was then processed (see description below) to remove the effect of the transfer function of the measurement apparatus and yield the channel impedance as a function of frequency. To provide a correction for the transfer function of the measurement apparatus, we first used a swept sine stimulus A(s) to measure the response B(s) of a 300 M2 calibration resistor (Figure 1B, top). Assuming the resistor has a flat frequency response over the frequency range of interest, i.e., DC to 50 kHz, we determined that the transfer function of the apparatus is G(s) = (B(s)/A(s)) R(s), where B(s)/A(s) is the measured transfer function, and G(s) and R(s) are the frequency responses of the measurement apparatus and the calibration resistor, respectively. Once the transfer function of the measurement apparatus is computed numerically, by an element-wise division of the complex frequency response, the corrected nanopore impedance is determined in an identical manner (Figure 1B, bottom) to yield Z(s) = G(s)/B(s)/A(s)).

Data Analysis. Nanopore data were processed with a custom Python program that implemented the method described herein. A thresholding algorithm first detects the interaction of a single molecule with the nanopore, when the ionic current deviates from the open channel value by 2σ, where σ is the standard deviation.26,27 Once an event is detected, this algorithm monitors discrete changes in the ionic current using a threshold to determine the number of discrete current levels (N), and initial estimates of the step height (A) and the delay (u) for each discrete current level (j) in the event, as defined in eq 4. Once the initial guesses are determined for an event, eq 4 is fit (for example with N = 2 for PEG events in Figure 2 and N = 26 for the DNA events in Figure 4) to the time-series to accurately estimate even parameters that correspond to a single molecule interacting with the nanopore. The process is repeated to estimate each interaction of a molecule with the nanopore in the recorded time-series.

Conflict of Interest: The authors declare no competing financial interest.

Acknowledgment. This work was supported in part by grant R01HG007415 from the National Human Genome Research Initiative (J.J.K.) and by a NRC/NIST-NIH Research Fellowship (A.B.).

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