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Abstract

We describe a novel technique for creating an artificial magnetic field for ultracold atoms using a periodically pulsed pair of counter propagating Raman lasers that drive transitions between a pair of internal atomic spin states: a multi-frequency coupling term. In conjunction with a magnetic field gradient, this dynamically generates a rectangular lattice with a non-staggered magnetic flux. For a wide range of parameters, the resulting Bloch bands have non-trivial topology, reminiscent of Landau levels, as quantified by their Chern numbers.

1. Introduction

Ultracold atoms find wide applications in realizing condensed matter phenomena \cite{1-4}. Since ultracold atom systems are ensembles of electrically neutral atoms, various methods have been used to simulate Lorentz-type forces, with an eye for realizing physics such as the quantum Hall effect (QHE). Lorentz forces are present in spatially rotating systems \cite{5-11} and appear in light-induced geometric potentials \cite{12, 13}. The magnetic fluxes achieved with these methods are not sufficiently large for realizing the integer or fractional QHE. In optical lattices, larger magnetic fluxes can be created by shaking the lattice potential \cite{14-17}, combining static optical lattices along with laser-assisted spin or pseudo spin coupling \cite{12, 13, 18-24}; current realizations of these techniques are beset with micro motion and interaction induced heating effects. Here we propose a new method that simultaneously creates large artificial magnetic fields and a lattice that may overcome these limitations.

Our technique relies on a pulsed atom-light coupling between internal atomic states along with a state-dependent gradient potential that together create a two-dimensional periodic potential with an intrinsic artificial magnetic field. With no pre-existing lattice potential, there are no \textit{a priori} resonant conditions that would otherwise constrain the modulation frequency to avoid transitions between original Bloch bands \cite{25}. For a wide range of parameters, the ground and excited bands of our lattice are topological, with nonzero Chern number. Moreover, like Landau levels the lowest several bands can all have unit Chern number.

The manuscript is organized as follows. Firstly, we describe a representative experimental implementation of our technique directly suitable for alkali atoms. Secondly, because the pulsed atom-light coupling is time-periodic, we use Floquet methods to solve this problem. Specifically, we employ a stroboscopic technique to obtain an effective Hamiltonian. Thirdly, using the resulting band structure we obtain a phase diagram which includes a region of Landau level-like bands each with unit Chern number.

2. Pulsed lattice

Figure 1 depicts a representative experimental realization of the proposed method. A system of ultracold atoms is subjected to a magnetic field with a strength $B(X) = B_0 + B'X$. This induces a position-dependent splitting $g\mu_B B$ between the spin up and down states; $g$ is the Land $g$-factor and $\mu_B$ is the Bohr magneton. Additionally, the atoms are illuminated by a pair of Raman lasers counter propagating along $\mathbf{e}_y$, i.e., perpendicular to the detuning gradient. The first beam [up-going in figure 1(a)] is at frequency $\omega_0$, while the second [down-going in figure 1(a)] contains frequency components $\omega_0 = \omega_0 + (1)^n(\Delta\omega + n\omega)$; the difference frequency...
between these beams contains frequency combs centered at ±δω with comb teeth spaced by 2ω, as shown in figure 1(b). In our proposal, the Raman lasers are tuned to be in nominal two-photon resonance with the Zeeman splitting from the large offset field B0 such that g_eµ_B = hδω, making the frequency difference ω_{n=0} = ω' resonant at X = 0, where B = B0. Intuitively, each additional frequency component ω_{n} adds a resonance condition at the regularly spaced points X_{n} = n/2ω/g_eµ_B, however, transitions using even-n sidebands give a recoil kick opposite from those using odd-n sidebands (see figure 1(c)). Each of these locations locally realizes synthetic magnetic field experiment performed at the National Institute of Standards and Technology [26], arrayed in a manner to give a rectified artificial magnetic field with a nonzero average that we will show is a novel flux lattice.

In practice only a finite number of lattice teeth are needed, owing to the finite spatial extent of a trapped atomic gas. In rough numbers the spatial extent of a quantum degenerate gas is 20 μm, and if we select a very large gradient corresponding to a lattice spacing of 0.5 μm, this gives just 40 comb teeth. Generating the frequency comb is straightforward. In the laboratory, acoustic-optical modulators (AOMs) frequency-shift laser beams by an amount defined by a laboratory radiofrequency (rf) source. Therefore creating a comb is a matter of first creating a frequency comb—simple with rf—and then feeding that signal into the AOM. This sort of frequency synthesis is routine in the ultracold atom labs.

We formally describe our system by first making the rotating wave approximation (RWA) with respect to the large offset frequency ω_0. This situation is modeled in terms of a spin-1/2 atom of mass M and wave-vector K = −i∇ with a Hamiltonian

\[ H(t) = H_0 + V(t). \]  

The first term is

\[ H_0 = \frac{\hbar^2 K^2}{2M} + \frac{\Delta(X)}{2} \sigma_3, \]

where \( \Delta(X) = \Delta X \) describes the detuning gradient along e_x, and \( \sigma_3 = \left| \uparrow \right\rangle \left\langle \uparrow \right| - \left| \downarrow \right\rangle \left\langle \downarrow \right| \) is a Pauli spin operator. In the RWA only near-resonant terms are retained, giving the Raman coupling described by

\[ V(t) = V_0 \sum_n \left[ e^{i(K_y Y - 2n\omega t)} + e^{i(-K_y Y - (2n + 1)\omega t)} \right] \left| \downarrow \right\rangle \left\langle \uparrow \right| + \text{H.c.} \]

The first term describes coupling from the sidebands with even frequencies 2nω, whereas the second term describes coupling from the sidebands with odd frequencies (2n + 1)ω. The recoil kick is aligned along ±e_y, with opposite sign for the even and odd frequency components. In writing equation (3) we assumed that the coupling amplitude \( V_0 \) and the associated recoil wavenumber \( K_0 \) are the same for all frequency components. The
coupling Hamiltonian $V(t)$ and therefore the full Hamiltonian $H(t)$ are time-periodic with period $2\pi/\omega$, and we accordingly apply Floquet techniques.

3. Theoretical analysis

The outline of this section is as follows. (1) We begin the analysis of the Hamiltonian given by equation (1) by moving to dimensionless units; (2) subsequently derive an approximate effective Hamiltonian from the single-period time evolution operator; (3) provide an intuitive description in terms of adiabatic potentials; and (4) finally solve the band structure, evaluate its topology and discuss possibilities of the experimental implementation.

3.1. Dimensionless units

For the remainder of the manuscript we will use dimensionless units. All energies will be expressed in units of $\hbar\omega$, derived from the Floquet frequency $\omega$; time will be expressed in units of inverse driving frequency $-1$, denoted by $\tau = \omega t$; spatial coordinates will be expressed in units of inverse recoil momentum $K_0^{-1}$, denoted by lowercase letters $(x, y) = K_0(X, Y)$. In these units, the Hamiltonian (1) takes the form

$$h(\tau) = \frac{H(\tau/\omega)}{\hbar\omega} = E_k k^2 + \frac{1}{2} \Omega(\tau) \cdot \sigma,$$

where $E_k = \hbar^2 K^2_0/(2M\hbar\omega)$ is the dimensionless recoil energy associated with the recoil wavenumber $K_0$; $k = K/K_0$ is the dimensionless wavenumber. The dimensionless coupling

$$\Omega(x, y, \tau) = (2 \Re u(y, \tau), 2 \Im u(y, \tau), \beta(x))$$

includes a combination of position-dependent detuning and Raman coupling. Here $\beta = \Delta/(\hbar\omega K_0)$ describes the linearly varying detuning in dimensionless units; the function $u(y, \tau) = v_0 \sum_n \exp[i(y - 2n\tau)] + \exp[-(y - (2n + 1)\tau)]$ is a dimensionless version of the sum in equation (3) with $v_0 = V_0/(\hbar\omega)$.

In the time domain the coupling given by equation (5) is

$$\frac{1}{2} \Omega(\tau) \cdot \sigma = \frac{1}{2} \beta \sigma_3 + \sum_i \nu_i(y) \delta(\tau - \pi l),$$

with

$$\nu_i(y) = \pi v_0 [\exp[iy] + (-1)^i \exp[-iy]] + H.c.$$.

In this way we have separated the spatial and temporal dependencies in the coupling (6).

3.2. Effective Hamiltonian

We continue our analysis by deriving an approximate Hamiltonian that describes the complete time evolution over a single period from $\tau = 0 - \epsilon$ to $\tau = 2\pi - \epsilon$ with $\epsilon \to 0$. This evolution includes a kick $v_0$ at the beginning of the period $\tau_+ = 0$ and a second kick $v_1$ in the middle of the period $\tau_- = \pi$; between the kicks the evolution includes the kinetic and gradient energies. In the full time period, the complete evolution operator is a product of four terms:

$$U(2\pi, 0) \equiv \lim_{\epsilon \to 0} U(2\pi - \epsilon, 0 - \epsilon) = U_0 U_{\text{kick}}^{(1)} U_0 U_{\text{kick}}^{(0)}.$$ (8)

Here

$$U_0 = \exp\left\{-i\pi \left[ E_k k^2 + \frac{1}{2} \sigma_3 \beta x \right] \right\}$$

is the evolution operator over a half period, generated by kinetic energy and gradient. The operator

$$U_{\text{kick}}^{(i)} = \exp[-i\nu_i(y)]$$

describes a kick at $\tau = i\pi$.

We obtain an effective Hamiltonian by assuming that the Floquet frequency $\omega$ greatly exceeds the recoil frequency, $1 \gg E_k$, allowing us to ignore the commutators between the kinetic energy and functions of coordinates in equation (8). We then rearrange terms in the full time evolution operator (8) and obtain (see appendix)

$$U_{\text{eff}} = \exp \left\{-i2\pi (E_k k^2 + \nu_{\text{eff}}) \right\},$$ (11)
where $v_{\text{eff}}$ is an effective coupling defined by
\[
\exp(-i2\pi v_{\text{eff}}) = e^{-i\theta_{\text{kick}}} e^{i\theta_{\text{kick}}} \exp(i\beta_{\text{kick}})
\] (12)

The function $v(y)$ entering the kick operators $U_{\text{kick}}^{(f)}$ is spatially periodic along the $y$ direction with a period $2\pi$. This period can be halved to $\pi$ by virtue of a gauge transformation $U = \exp(-i\beta/2)$. Subsequently, when exploring energy bands and their topological properties, this prevents problems arising from using a twice larger elementary cell. Following this transformation the evolution operator becomes
\[
U_{\text{eff}} = \exp\{ -i2\pi[E_{\text{c}}(k + \sigma_2 e_2/2)^2 + v_{\text{eff}}]\},
\] (13)
where $v(y)$ featured in the kick operators $U_{\text{kick}}^{(f)}$ has now the spatial periodicity $\pi$ along the $y$ direction, i.e. it should be replace to
\[
v_l(y) = \pi v_0 [e^{iy} + (-1)^l] \downarrow \uparrow + \text{H.c.}
\] (14)

The algebra of Pauli matrices allows us to write the effective coupling $v_{\text{eff}}(r)$ featured in the evolution equations (12) and (13) as:
\[
v_{\text{eff}}(r) = \frac{1}{2} \Omega_{\text{eff}}(r) \cdot \sigma,
\] (15)
where $\Omega_{\text{eff}} = (\Omega_{\text{eff,1}}, \Omega_{\text{eff,2}}, \Omega_{\text{eff,3}})$ is a position-dependent effective Zeeman field which takes the analytic form
\[
\exp(-i2\pi v_{\text{eff}}) = q_0 - i(q_1 \sigma_1 - q_2 \sigma_2 - q_3 \sigma_3).
\] (16)
Here $q_0, q_1, q_2$ and $q_3$ are real functions of the coordinates $(x, y)$, allowing to express the effective Zeeman field as
\[
\Omega_{\text{eff}} = q_0 - \frac{q}{\|q\|} \arccos q_0
\] (17)
where $q$ is a shorthand of a three dimensional vector $(q_1, q_2, q_3)$. In general the equation (16) gives multiple solutions that correspond for different Floquet bands. Our choice (17) picks only to the two bands that lie in the energy window from $-1/2$ to $1/2$ covering a single Floquet period.

Comparing (12) and (16) and multiplying four matrix exponents give explicit expressions
\[
q_0 = f_1 \cos f_2 \cos(\pi/3),
\] (18)
\[
q_1 = \sin f_1 \cos f_2 \cos(y + \pi/3) - \cos f_1 \sin f_2 \sin(y),
\] (19)
\[
q_2 = \sin f_1 \cos f_2 \sin(y + \pi/3) + \cos f_1 \sin f_2 \cos(y),
\] (20)
\[
q_3 = \cos f_1 \cos f_2 \sin(\pi/3) - \sin f_1 \sin f_2
\] (21)
with
\[
f_1(y) = 2\pi v_0 \cos(y),
\] (22)
\[
f_2(y) = 2\pi v_0 \sin(y).
\] (23)

These explicit expressions show that the resulting effective Zeeman field (17) and the associated effective coupling (15) are periodic along both $e_x$ and $e_y$ with spatial periods $a_x = 2/\beta$ and $a_y = \pi$ respectively. Therefore, although the original Hamiltonian containing the spin-dependent potential slope $\times \cos \beta$ is not periodic along the $x$ direction, the effective Floquet Hamiltonian is. The spatial dependence of the Zeeman field components $\Omega_{\text{eff,1}}, \Omega_{\text{eff,2}}$ and $\Omega_{\text{eff,3}}$ is presented in the figure 2 for $\beta = 0.6$ giving an approximately square unit cell. In figure 2 we select $v_0 = 0.25$ where the absolute value of the Zeeman field $\Omega_{\text{eff}}$ is almost uniform, as is apparent from the nearly flat adiabatic bands shown in figure 3 below.

3.3. Adiabatic evolution and magnetic flux

Before moving further to an explicit numerical analysis of the band structure, we develop an intuitive understanding by performing an adiabatic analysis of motion governed by effective Hamiltonian
\[
h_{\text{eff}}(r) = E_{\text{c}}(k + \sigma_2 e_2/2)^2 + \frac{1}{2} \Omega_{\text{eff}} \cdot \sigma
\] (24)
featured in the evolution operator $U_{\text{eff}}$ equation (13). The coupling field $\Omega_{\text{eff}}(r)$ is parametrized by the spherical angles $\theta(r)$ and $\phi(r)$ defined by
\[
\cos \theta = \frac{\Omega_{\text{eff,3}}}{\Omega_{\text{eff}}},
\] (25)
\[
\tan \phi = \frac{\Omega_{\text{eff,2}}}{\Omega_{\text{eff,1}}}.
\] (26)
This gives the effective coupling [12]

$$\frac{1}{2} \Omega_{\text{eff}} \cdot \sigma = \frac{1}{2} \Omega_{\text{eff}} \begin{pmatrix} \cos \theta & e^{i\phi} \sin \theta \\ e^{i\phi} \sin \theta & -\cos \theta \end{pmatrix},$$

characterized by the position-dependent eigenstates

$$|+\rangle = \begin{pmatrix} \cos (\theta/2) \\ e^{i\phi} \sin (\theta/2) \end{pmatrix}, \quad |\rangle = \begin{pmatrix} -e^{-i\phi} \sin (\theta/2) \\ \cos (\theta/2) \end{pmatrix}.$$  (28)

The corresponding eigenvalues

$$v_{\pm}(r) = \pm \frac{1}{2} \Omega_{\text{eff}},$$  (29)

are shown in figure 3 for various value of the Raman coupling $v_0$. As one can see in figure 3, for $v_0 = 0.25$ the resulting bands $v_{\pm}(r)$ (adiabatic potentials) are flat and have a considerable gap $\approx \omega/2$, a regime suitable for a description in terms of an adiabatic motion in selected bands [27].

As in [28], we consider the adiabatic motion of the atom in one of these flat adiabatic bands with the projection Schrödinger equation that includes a geometric vector potential

$$A_{\pm}(r) = \pm \frac{1}{2} (\cos \theta - 1) \nabla \phi.$$  (30)

This provides a synthetic magnetic flux density $B_{\pm}(r) = \nabla \times A_{\pm}(r)$. The geometric vector potential $A_{\pm}(r)$ may contain Aharonov–Bohm type singularities, that give rise to a synthetic magnetic flux over an elementary cell.
The singularities appear at points where $\theta = \pi$, where the angle $\phi$ and its gradient $\nabla\phi$ are undefined and $\cos \theta = -1$. The term $\cos \theta - 1$ in (30) is nonzero and does not remove the undefined phase $\nabla\phi$. Our unit cell contains two such singularities located at $r = (a_0, 3a_0)/4$ and $r = (3a_0, a_0)/4$, containing the same flux, so that they do not compensate each other, giving the synthetic magnetic flux $\pm 2\pi$ in each unit cell. Note that usually the optical lattices are sufficiently deep, and the $\pm 2\pi$ flux per elementary is topologically trivial. In that case the tight binding model can be applied, with the tunneling taking place only between the nearest-neighboring sites of the square plaquette. The $\pm 2\pi$ flux over the square plaquette can then be eliminated by a gauge transformation. Yet if the lattice is shallow enough, the tight binding model is not applicable and the above arguments do not work. In the present situation, the most interesting topological lattice appears for a strongly deep Raman coupling where the two spin states are no longer affected by the steep spin-dependent potential slopes, and the spin-dependent energy gradient which would have a huge influence on the relative trapping for the two spin states without the Raman coupling or for a weak Raman coupling. In that case one would expect that the stable positions for any trapped sample of the two spin states would live at entirely distinct locations, possibly with no overlap. Yet we are interested mostly in a sufficiently strong Raman coupling where the two spin states get mixed, and the atomic motion takes place in almost flat adiabatic potentials shown in red in figure 3. Therefore the atoms are no longer affected by the steep spin-dependent potential slopes, and the spin-independent trapping potential would not cause separation of different spin states. Instead, the extra spin-independent parabolic trapping potential would simply make the flat adiabatic trapping potentials parabolic. Of course, one needs to be all the time in the regime where the Raman coupling is strong compared to the characteristic energy of the spin-dependent potential slope. That is why we propose to introduce the spin-dependent potential gradient only at the final stage of the adiabatic protocol discussed in section 3.5.

### 3.4. Band structure and Chern numbers

We analyze the topological properties of this Floquet flux lattice by explicitly numerically computing the band structure and associated Chern number using the effective Hamiltonian (24) without making the adiabatic approximation introduced in section 3.3. Again the gradient of the original magnetic field is such that we approximately get a square lattice, $\beta = 0.6$. Furthermore, we choose the Floquet frequency to be ten times larger

\[ \alpha_{\pm} = -\int_{\text{singal}} dx \cdot A_\pm(r). \] (31)
than the recoil energy, $E_r = 0.1$. Note that one can alter the length of the plaquette along the $x$ direction (and thus the flux density) by changing $\beta$ representing the potential gradient along the $x$ axis.

First, let us consider the case where $v_0 = 0.25$ corresponding to the most flat adiabatic potential. In this situation the Chern numbers of the first five bands appear to be equal to the unity, as one can see in the left part of figure 5. Thus the Hall current should monotonically increase when filling these bands. This resembles the QHE involving the Landau levels. Second, we check what happens when we leave the regime $v_0 = 0.25$ where the adiabatic potential is flat, and consider lower and higher values of the coupling strength $v_0$. Near $v_0 = 0.175$ we find a topological phase transition where the lowest two energy bands touch and their Chern numbers change to $c_1 = 0$ and $c_2 = 2$, while the Chern numbers of the higher bands remain unchanged, illustrated in figure 6. In a vicinity of $v_0 = 0.3$ there is another phase transition, where the second and third bands touch, leading to a new distribution of Chern numbers: $c_1 = 4, c_2 = 1, c_3 = 3, c_4 = 1$. Interestingly the Chern numbers of the second and the third bands jump by two units during such a transition.

Finally, we explore the robustness of the topological bands. The right part of figure 5 shows the dependence of the band gap $\Delta_{12}$ between the first and second bands on the coupling strength $v_0$ and the potential gradient $\beta$.

Figure 5. Left: band structure calculated using the effective Hamiltonian (24) for $v_0 = 0.25, \beta = 0.6$ and $E_r = 0.1$. Right: the band gap $\Delta_{12}$ between the first and second bands for $E_r = 0.1$ and various values of $v_0$ and $\beta$. The letters A, B and C indicate the regions where the Chern numbers of the first three bands correspond to the right, middle and left parts of the figure 6.

Figure 6. Dependence of Chern number for the bands calculated using the effective Hamiltonian (24) on the coupling strength $v_0$ for $\beta = 0.6$ and $E_r = 0.1$. Here we present the Chern numbers $c_1, c_2$ and $c_3$ of the three lowest bands.
One can see that the band gap is maximum for \( v_0 = 0.25 \) when the adiabatic potential is the most flat. The gap increases by increasing the gradient \( \beta \), simultaneously extending the range of the \( v_0 \) values where the band gap is nonzero. Therefore to observe the topological bands, one needs to take a proper value of the Raman coupling \( v_0 \approx 0.25 \) and a sufficiently large gradient \( \beta \), such as \( \beta = 0.6 \).

We now make some numerical estimates to confirm that this scheme is reasonable. We consider an ensemble of \( ^8 \text{Rb} \) atoms, with \( | \downarrow \rangle = | f = 2, m_f = 2 \rangle \) and \( | \uparrow \rangle = | f = 1, m_f = 1 \rangle \): the relative magnetic moment of these hyperfine states is \( \approx 2.1 \text{ MHz G}^{-1} \), where \( 1 \text{ G} = 10^{-4} \text{ T} \). For a reasonable magnetic field gradient of \( 300 \text{ G/cm} \), this leads to the \( \Delta' / \hbar \approx 2\pi \times 600 \text{ MHz cm}^{-1} = 2\pi \times 60 \text{ kHz} \mu\text{m}^{-1} \) detuning gradient. For \( ^8 \text{Rb} \) with \( \lambda = 790 \text{ nm} \) laser fields the recoil frequency is \( \omega_r / 2\pi = 3.5 \text{ kHz} \). Along with the driving frequency \( \omega = 10\omega_r \), this provides the dimensionless energy gradient \( \beta = \Delta' / \hbar \omega_r \approx 1.3 \), allowing easy access to the topological bands displayed in figure 5.

3.5. Loading into dressed states

Adiabatic loading into this lattice can be achieved by extending the techniques already applied to loading into Raman dressed states [29]. The loading technique begins with a Bose–Einstein condensate (BEC) in the lower energy \( | \downarrow \rangle \) state in a uniform magnetic field \( B_0 \). Subsequently one slowly ramps on a single off resonance RF coupling field and the adiabatically ramp the RF field to resonance (at frequency \( \delta \omega \)). This RF dressed state can be transformed into a resonant Raman dressed by ramping on the Raman lasers (with only the \( \omega_0 + \delta \omega \) frequency on the \( k^- \) laser beam) while ramping off the RF field. The loading procedure then continues by slowly ramping on the remaining frequency components on the \( k^- \) beam, and finally by ramping on the magnetic field gradient (essentially according in the lattice sites from infinity). This procedure leaves the BEC in the \( q = 0 \) crystal momentum state in a single Floquet band.

4. Conclusions

Initial proposals [30–32] and experiments [26] with geometric gauge potentials were limited by the small spatial regions over which these existed. Here we described a proposal that overcomes these limitations using laser coupling reminiscent of a frequency comb: temporally pulsed Raman coupling. Typically, techniques relying on temporal modulation of Hamiltonian parameters to engineer lattice parameters suffer from micro-motion driven heating. Because our method is applied to atoms initially in free space, with no optical lattice present, there are no \textit{a priori} resonant conditions that would otherwise constrain the modulation frequency to avoid transitions between original Bloch bands [25].

Still, no technique is without its limitations, and this proposal does not resolve the second standing problem of Raman coupling techniques: spontaneous emission process from the Raman lasers. Our new scheme extends the spatial zone where gauge fields are present by adding sidebands to Raman lasers, ultimately leading to a \( \propto \sqrt{N} \) increase in the required laser power (where \( N \) is the number of frequency tones), and therefore the spontaneous emission rate. As a practical consequence it is likely that this technique would not be able reach the low entropies required for many-body topological matter in alkali systems [13], but straightforward implementations with single-lasers on alkaline-earth clock transitions [33, 34] are expected to be practical.

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Appendix. Stroboscopic evolution operator

The stroboscopic evolution operator (8) reads explicitly

\[
U(2\pi, 0) = U_0 U^{(1)}_{\text{kick}} U_0 U^{(0)}_{\text{kick}} = e^{-\frac{i}{\hbar} [E, k^+ + \frac{i}{2} \phi_{\text{OC}, k}] - \frac{i}{\hbar}[E, k^- + \frac{i}{2} \phi_{\text{OC}, k}]} e^{-i\phi_{\text{OC}}(\gamma)} e^{-i\phi_{\text{OC}}(\gamma)}.
\]

In the main we have approximated the evolution operator by equation (11). To estimate the validity of the latter equation, let us make use of the Baker–Campbell–Hausdorff formula

\[
e^X e^Y = e^Z \quad \text{with} \quad Z = X + Y + \frac{1}{2} [X, Y] + \ldots
\]
and consider this expansion up to the leading term $\frac{1}{2}[X, Y]$, essentially the second term in the Magnus expansion.

Neglecting the commutation between $E_i k^2$ and $\sigma_i/\hbar \omega$, one can write

$$U_0 = e^{-i \frac{1}{2} E_i k^2 + \frac{i}{\hbar \omega} \sigma_i} \approx e^{-i \frac{1}{2} E_i k^2} e^{-i \frac{\sigma_i}{\hbar \omega}}.$$

The error in doing so is approximately $-i \frac{1}{4} E_i \sigma_i k^2 \left\{ X \right\} \approx - \frac{1}{4} E_i \sigma_i \left\{ Y \right\}$. Since $E_i \beta \ll 1$, this provides a small momentum shift along the $x$ direction. Furthermore, we shall neglect the commutation between $E_i k^2$ and $\nu(y)$. The error in doing so is approximately $-i \frac{1}{4} E_i \sigma_i y \left\{ Y \right\} \approx - \frac{1}{4} E_i \sigma_i y \left\{ Y \right\}$. Since the Floquet frequency $\omega$ greatly exceeds the recoil frequency $E_i \ll 1$ and $\beta < 1$, this also provides a small momentum shift along the $y$ direction. With these assumptions, one has

$$U(2\pi, 0) = U_0 U^{(1)}_{\text{kick}} U_0 U^{(0)}_{\text{kick}} \approx e^{-ij2 \pi E_i k^2} e^{-ij2 \pi \sigma_i},$$

where

$$e^{-ij2 \pi \sigma_i} = e^{-i\pi \sigma_i/\hbar \omega} e^{-i\pi \sigma_i/\hbar \omega} e^{-i\pi \sigma_i/\hbar \omega} e^{-i\pi \sigma_i/\hbar \omega}.$$

Finally under the above assumptions one can merge the exponent in $U(2\pi, 0)$, giving equation (11).

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